

# Highlights from PHENIX

## Transverse Spin Physics Program

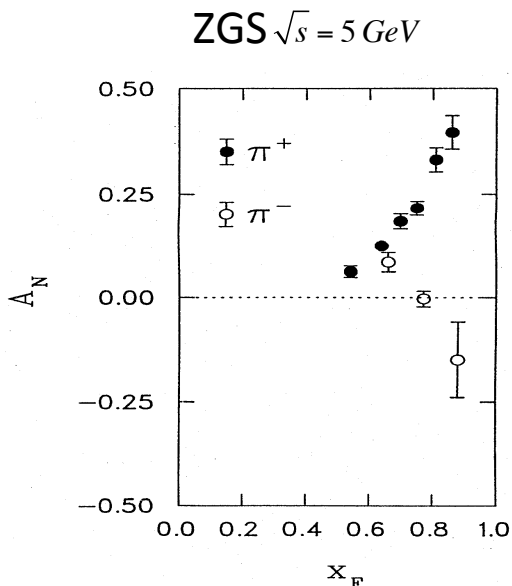
Ming X Liu

Los Alamos National Laboratory  
(for the PHENIX Collaboration)

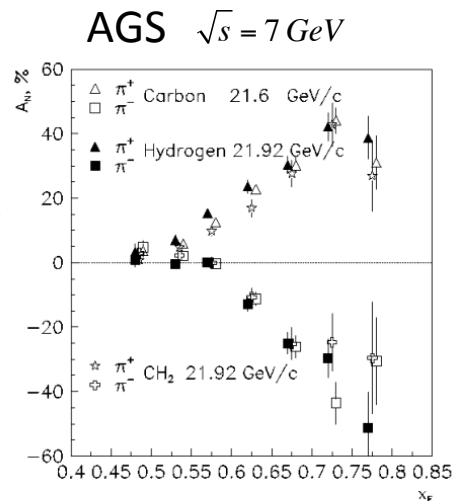
- Latest results from PHENIX
- Opportunities with FVTX and MPC-EX
- Future – forward s/ePHENIX

# Do We Understand This?

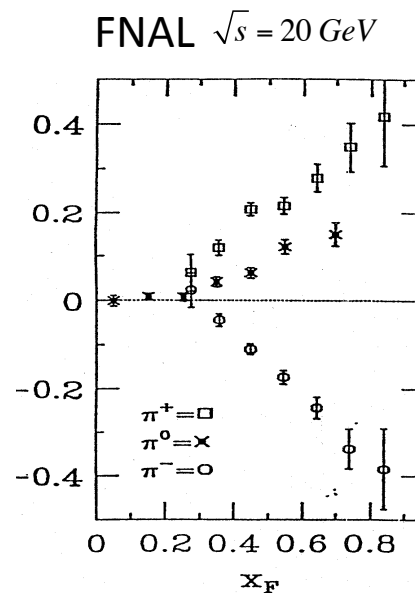
Large Transverse Single Spin Asymmetry (SSA)  
in forward hadron production persists up to  
RHIC energy.



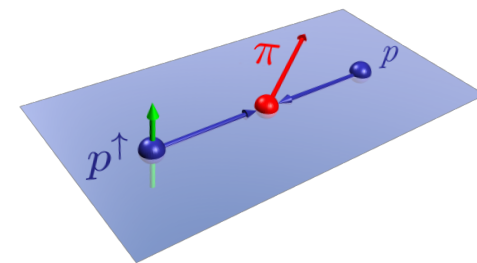
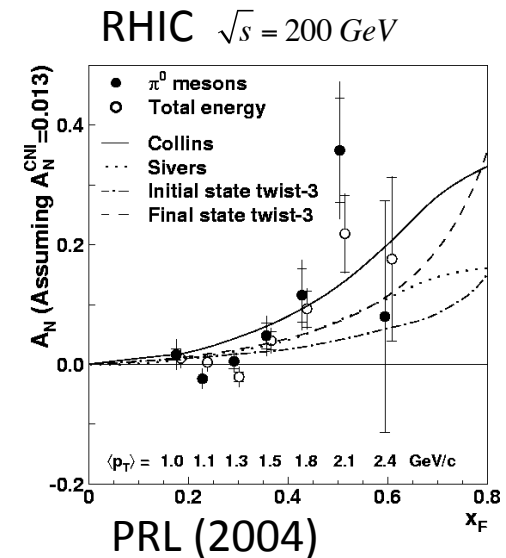
PRL36, 929 (1976)



PRD65, 092008 (2002)



PLB261, 201 (1991)  
PLB264, 462 (1991)



Sivers, Collins, Twist-3 ....

Non-Perturbative cross section



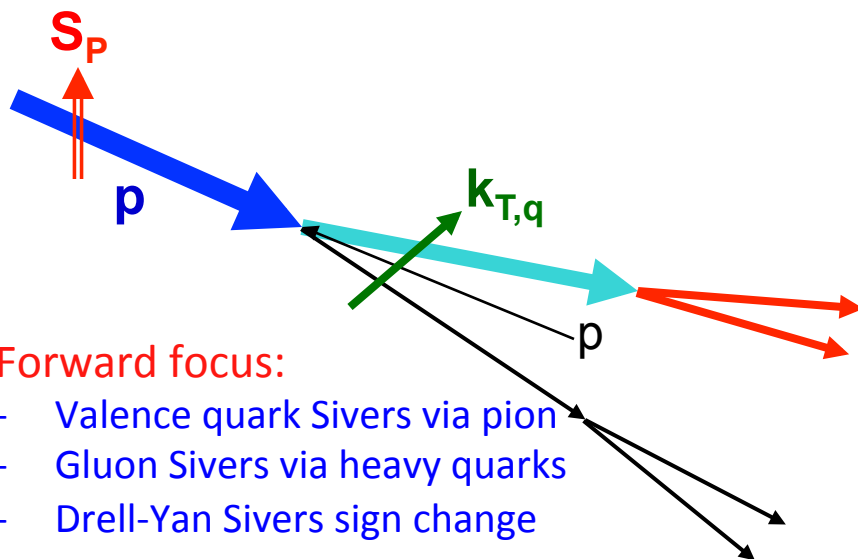
Perturbative cross section

# Possible Mechanisms for Transverse Spin Asymmetry

- Quarks' Sivers and Collins TSSA observed in SIDIS
- Gluons' Sivers not constrained in SIDIS @LO

**Sivers mechanism:** Correlation between nucleon spin and parton  $k_T$

Phys Rev D41 (1990) 83; 43 (1991) 261



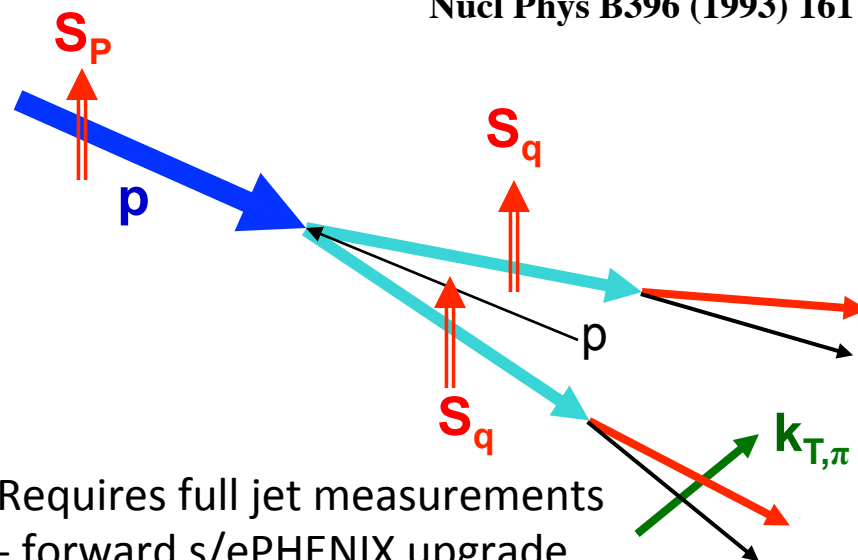
Forward focus:

- Valence quark Sivers via pion
- Gluon Sivers via heavy quarks
- Drell-Yan Sivers sign change

Orbital Angular Momentum?

**Collins mechanism:** Transversity (quark polarization) \* Spin-dependence in the jet fragmentation

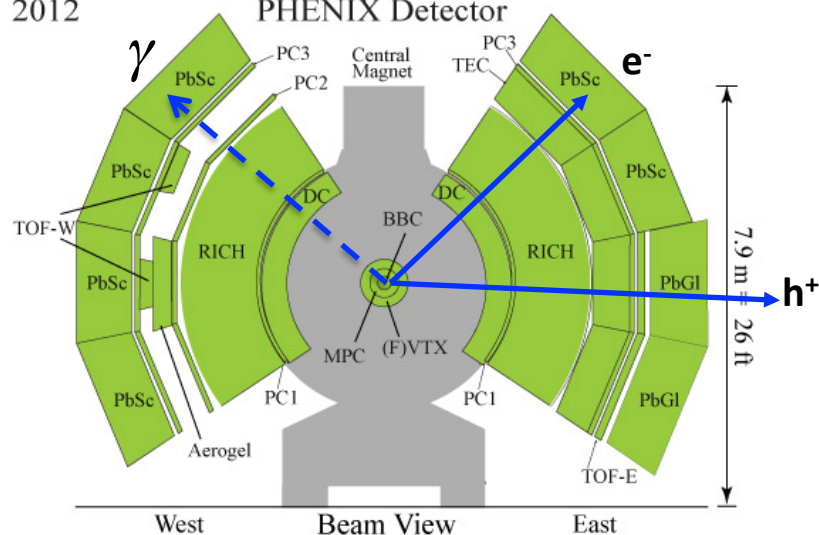
Nucl Phys B396 (1993) 161



Requires full jet measurements  
- forward s/ePHENIX upgrade

2012

PHENIX Detector



## Central Arm $|\eta| < 0.35$

- Drift Chamber (DC)
- PbGl and PbSc (EMCal)
- Ring Imaging Cherenkov Detector (RICH)
- Pad Chambers (PC)
- Time Expansion Chamber (TEC)
- Silicon Vertex Detector (VTX)

## Muon Arms $1.2 < |\eta| < 2.4$

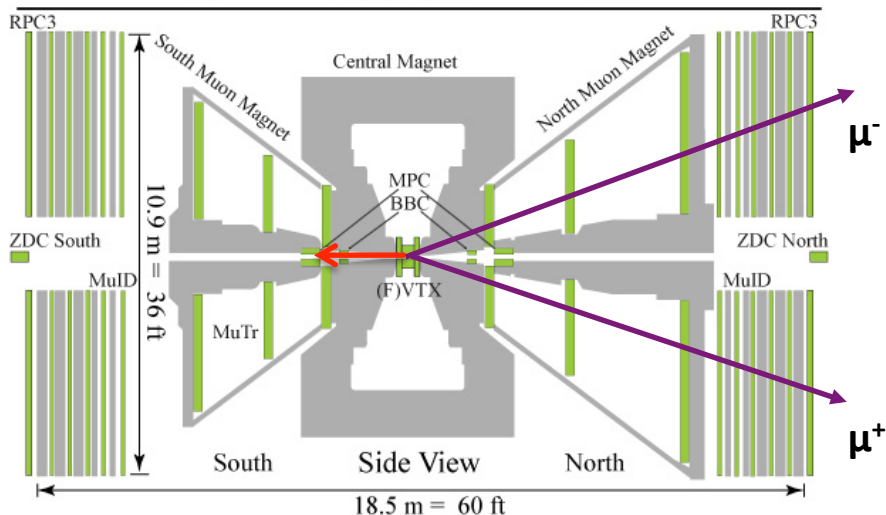
- Muon tracker (MuTr)
- Muon Identifier (MuID)
- RPC (Trig)
- Forward VTX (FVTX)

## Muon Piston Cal. (MPC) $3.1 < |\eta| < 3.9$

- photons ( $\pi^0, \eta, \dots$ )
- MPX-EX upgrade(2015)

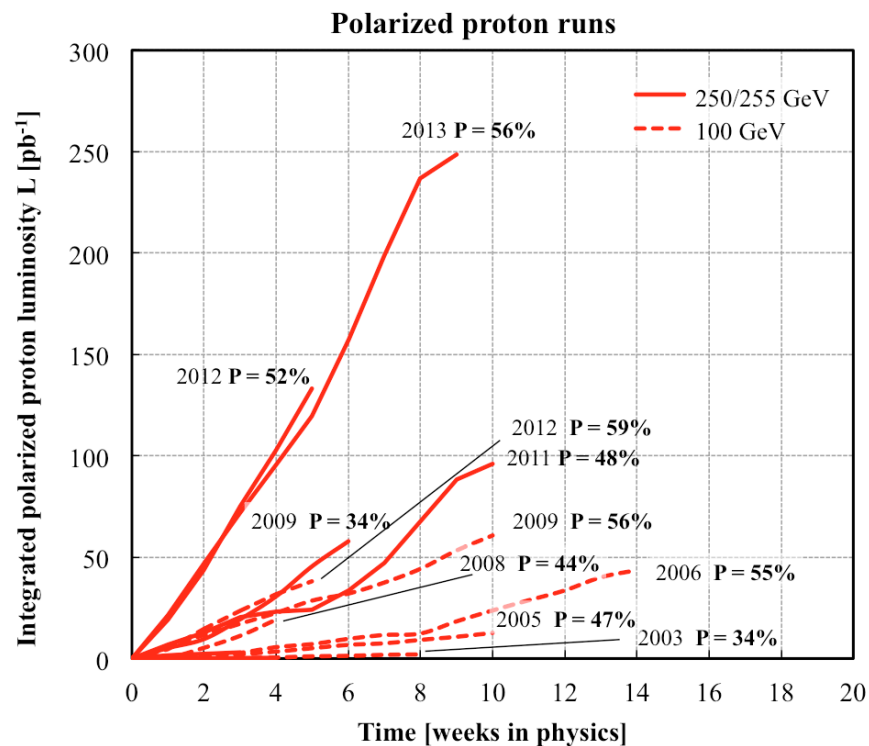
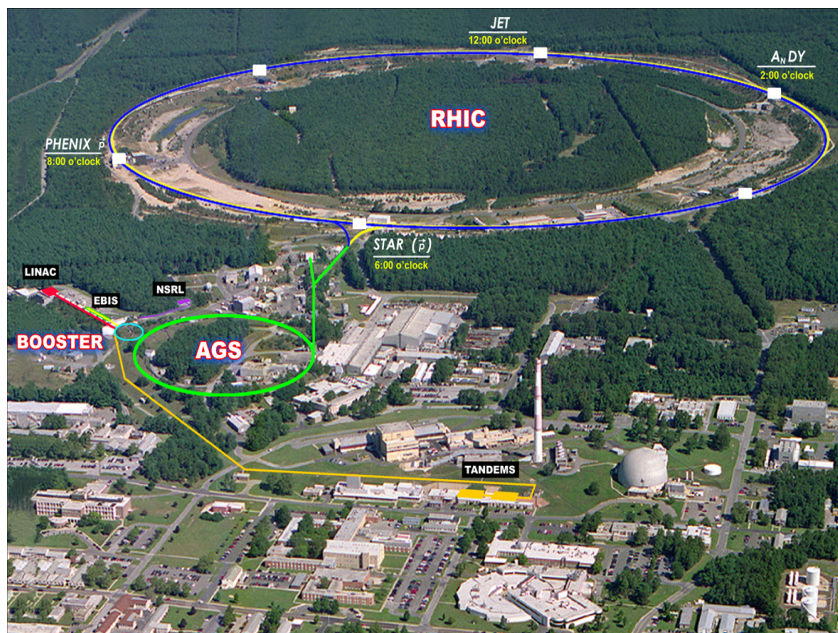
## Global Detectors (Lumi, Trigger, local Pol.)

- BBC
- ZDC (neutron)





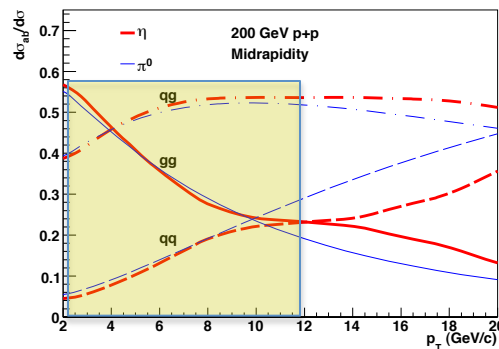
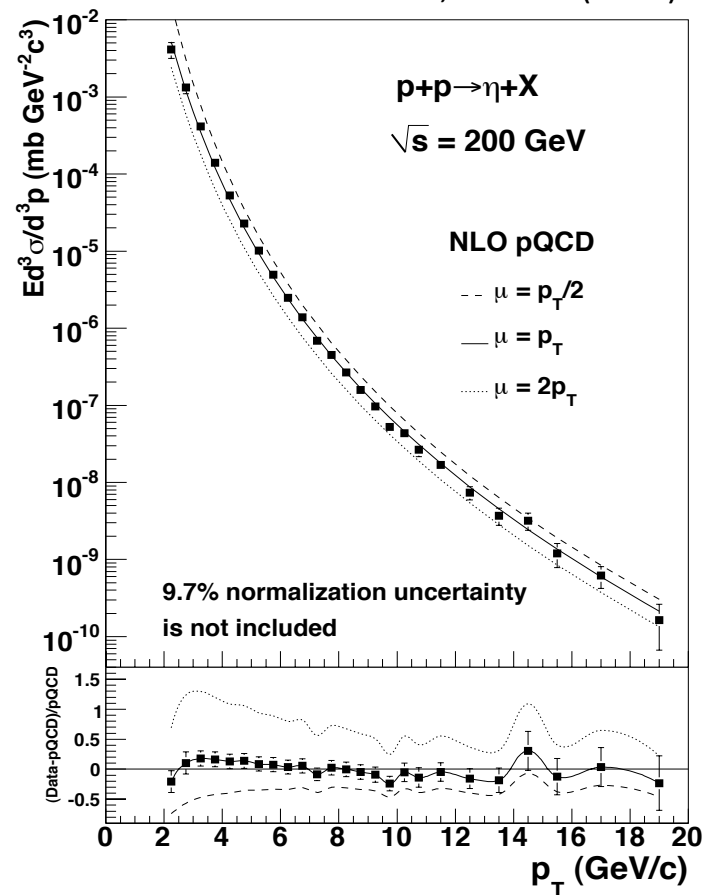
# Recent PHENIX Transverse Spin Runs



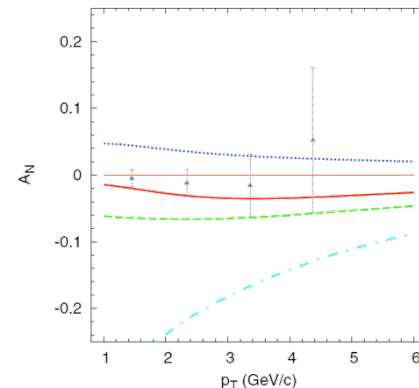
Year	$\sqrt{s}$ [GeV]	Recorded L	Pol [%]	FOM ( $P^2L$ )
2006 (Run 6)	200	2.7 pb <sup>-1</sup>	50	700 nb <sup>-1</sup>
2008 (Run 8)	200	5.2 pb <sup>-1</sup>	45	1100 nb <sup>-1</sup>
2012 (Run12)	200	9.2 pb <sup>-1</sup>	60	3300 nb <sup>-1</sup>

# Central Arms: Mid-rapidity $\pi^0$ and $\eta$

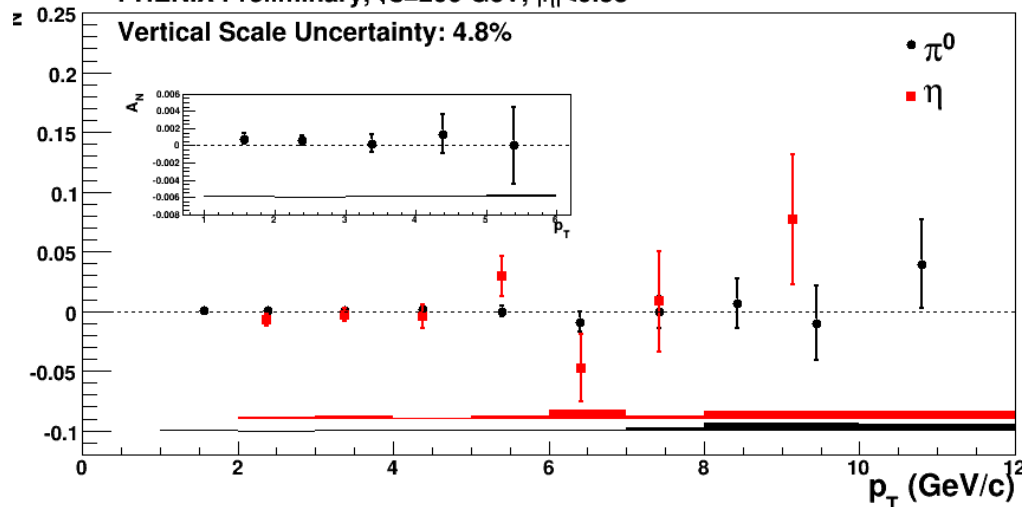
PRD 83, 032001 (2011)



Anselmino et al, PRD 74, 094011 (2006)

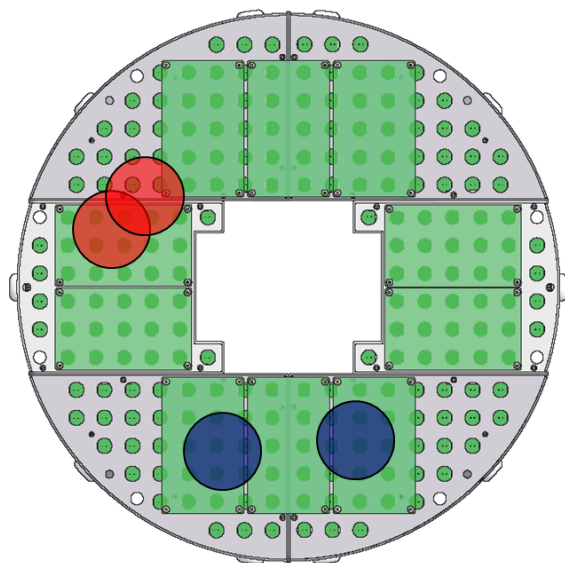


PHENIX Preliminary,  $\sqrt{s}=200 \text{ GeV}$ ,  $|\eta| < 0.38$   
Vertical Scale Uncertainty: 4.8%

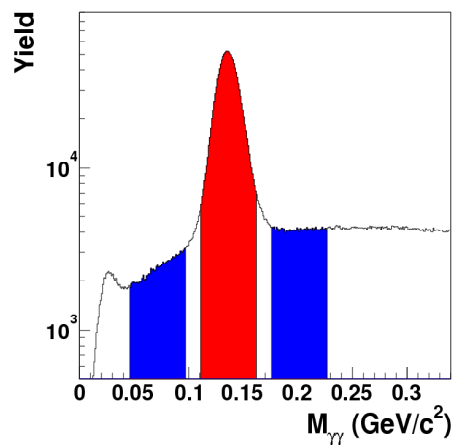


- Cross sections: pQCD in good agreement with RHIC data
- $A_N$ : consistent with zero –  $\{x, Q^2\}$  dependence under investigation

# MPC: Forward-rapidity $\pi^0$ and $\eta$



Decay photon impact positions for low and high energy  $\pi^0$ 's



$3.1 < |\eta| < 3.9$

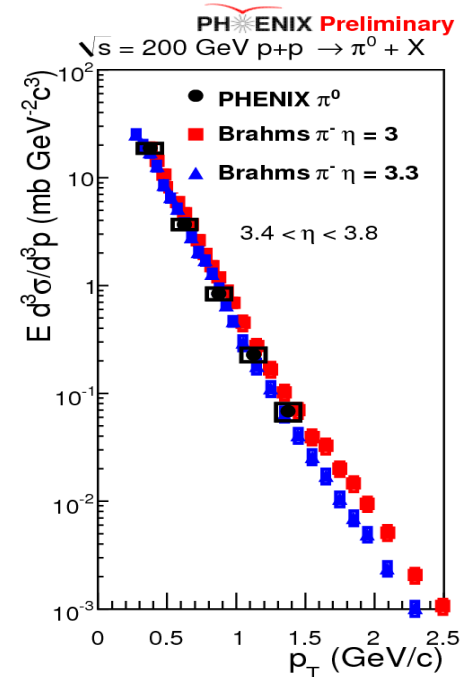
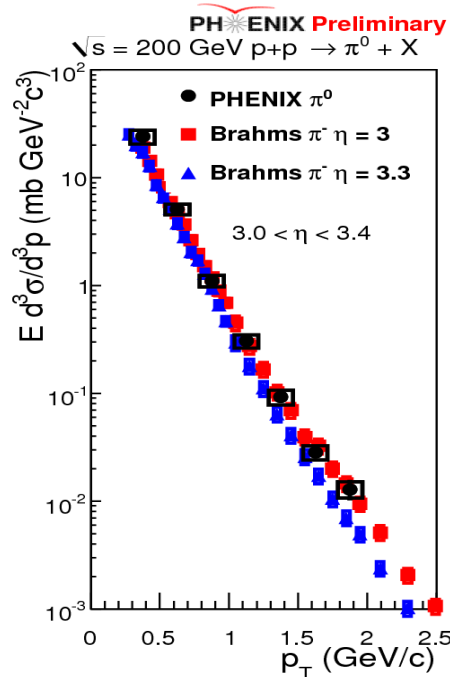
$\pi, \eta$

$\gamma_1$

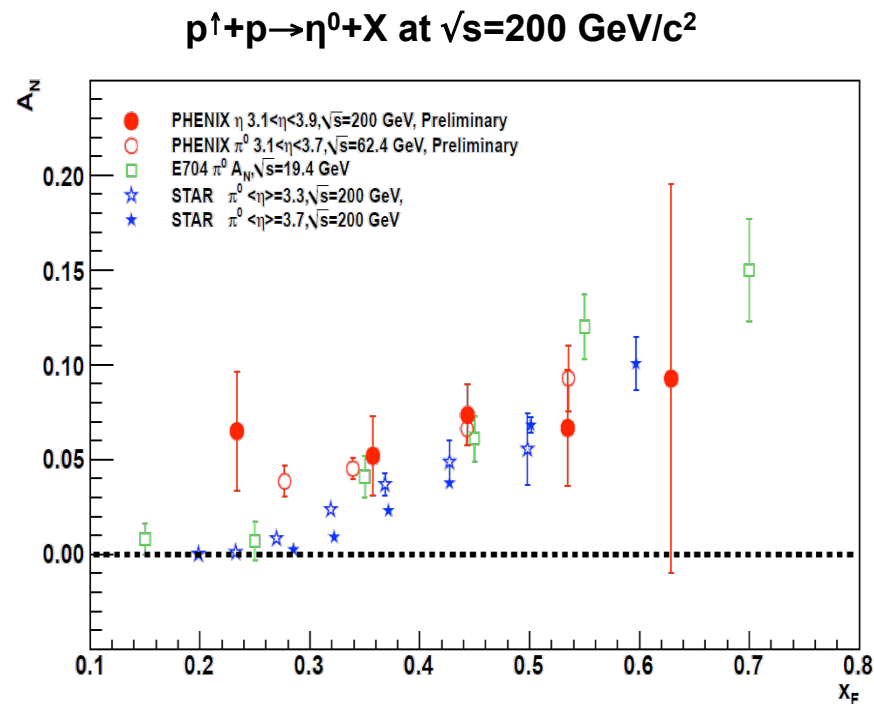
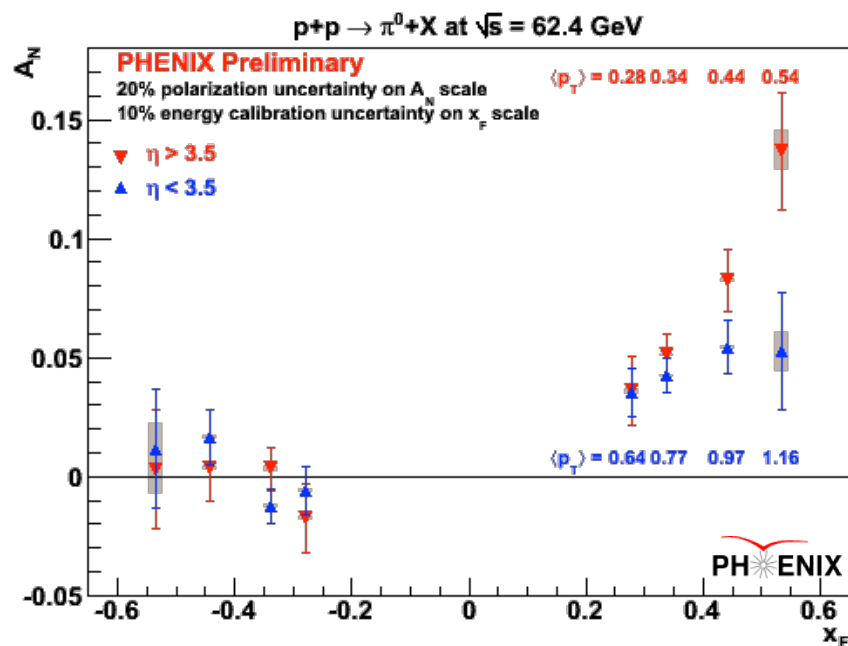
$\gamma_2$

$\theta$

$$m_{\gamma\gamma}^2 = 2E_1E_2(1 - \cos(\theta))$$

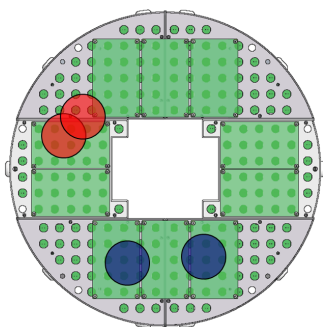


# MPC: $\pi^0$ and $\eta$ $A_N$ , $\sqrt{s}=62.4, 200$ GeV

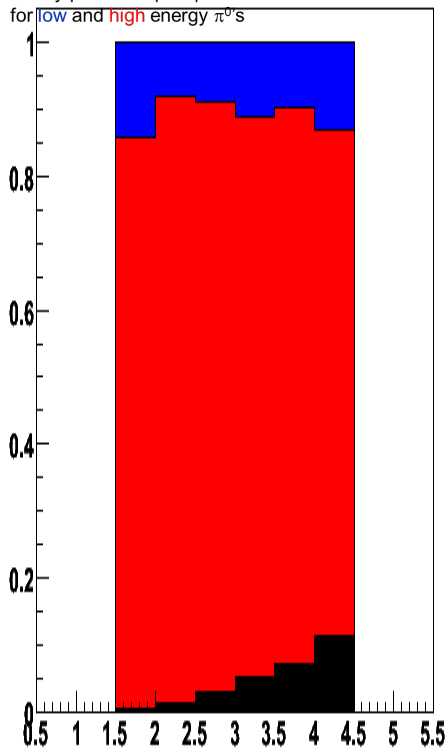


# Access Higher pT: EM Clusters

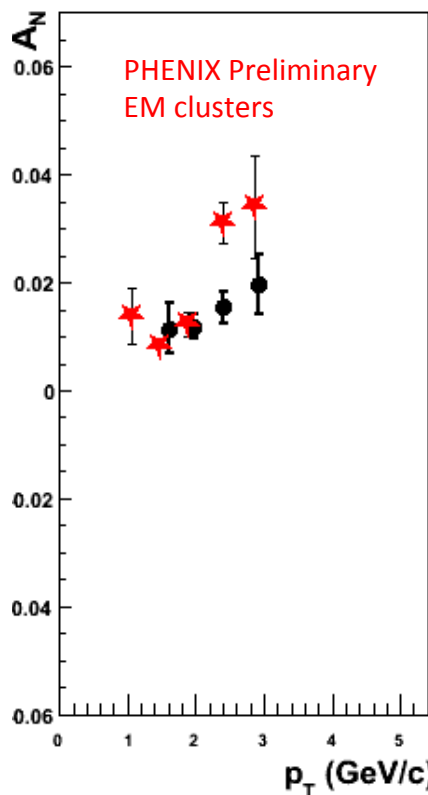
$A_N$  vs pT,  $\sqrt{s}=200$  GeV



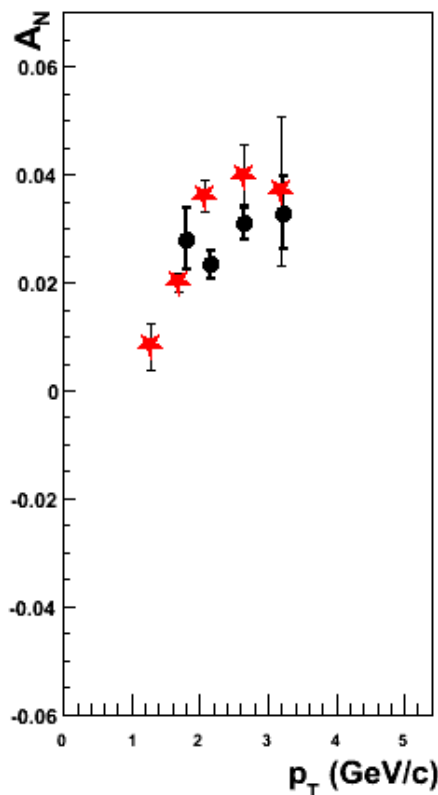
Decay photon impact positions  
for low and high energy  $\pi^0$ 's



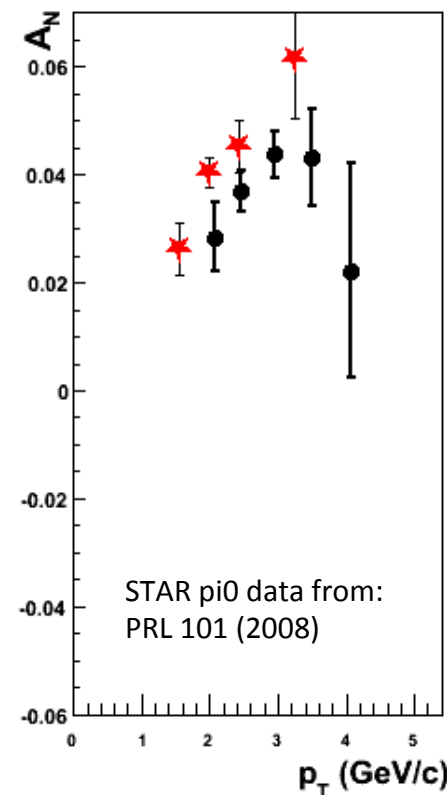
$0.30 < |x_F| < 0.35$



$0.35 < |x_F| < 0.40$



$0.40 < |x_F| < 0.47$



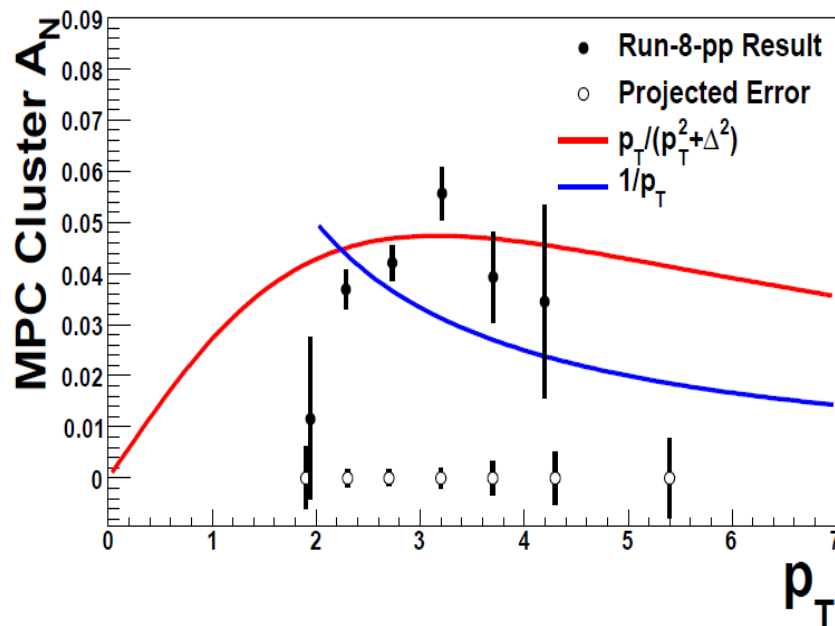
Decay photon  
 $\pi^0$   
Direct photon

$$A_N(cluster) = A_N^{\pi^0} f^{\pi^0} + A_N^{\eta} f^{\eta} + A_N^{\gamma} f^{\gamma} + \dots$$

# Forward $A_N$ Challenge: $p_T$ Dependence

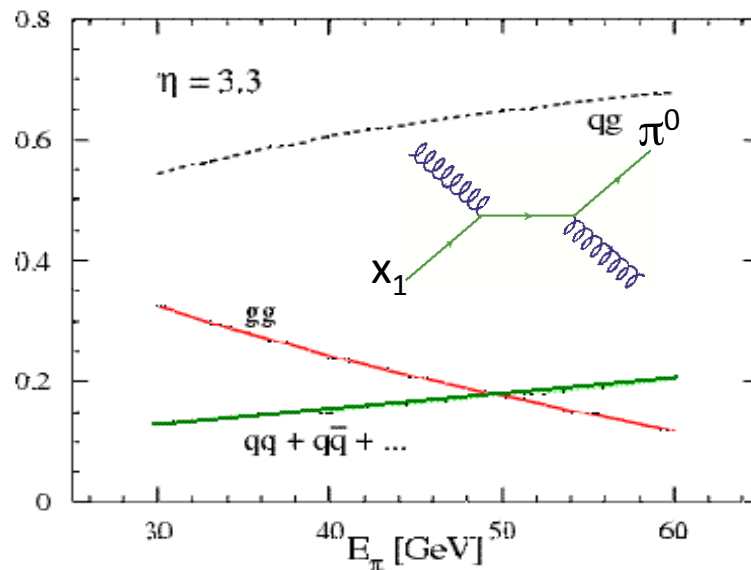
## Valence Quarks' Sivers or Collins effects?

$x_F > 0.4$ , Integrated Luminosity 33.0/pb, Polarization 0.60



- No sign of  $1/p_T$  falloff yet.
  - Collins?
  - Twist-3  $p_T$ -dep not trivial
- Much improved with MPC-EX (2015+)

Sub-process fractions p+p 200GeV

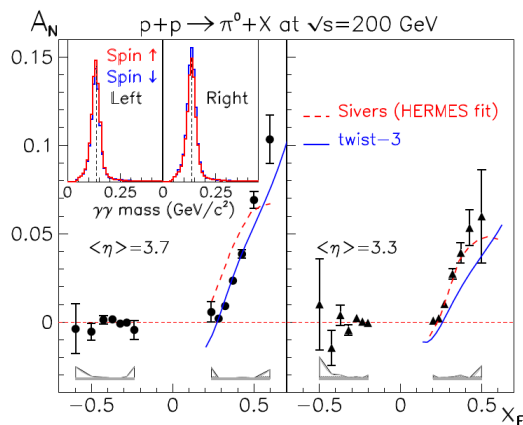


$$A_N \sim \frac{1}{Q} \quad @ twist-3 \quad \text{Y. Koike, 2012}$$

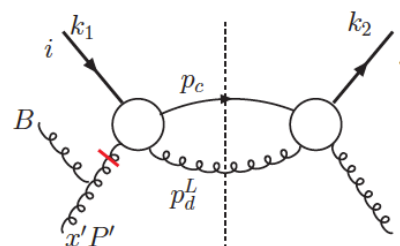
$$A_N \sim O\left(\frac{M_N P_T S}{UT}\right) + O\left(\frac{M_N P_T}{-U}\right)$$

# A New Challenge: $A_N$ Sign Mismatch?

- Twist-3 (RHIC) v.s. Siverson (SIDIS)



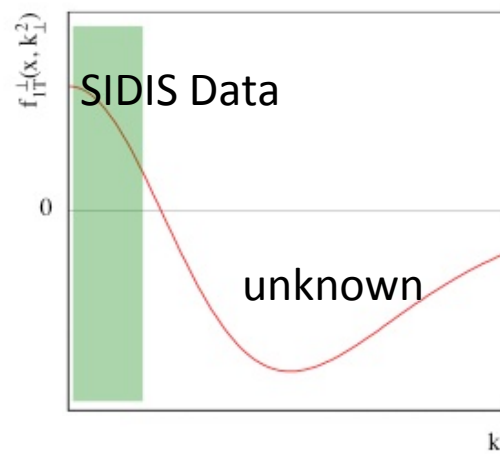
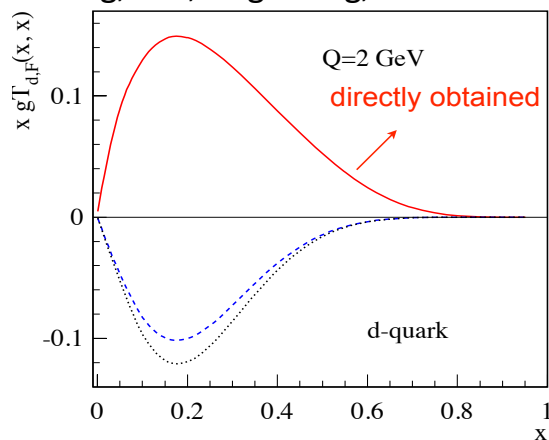
$$gT_{q,F}(x, x) = - \int d^2 k_{\perp} \frac{|k_{\perp}|^2}{M} f_{1T}^{\perp q}(x, k_{\perp}^2) |_{\text{SIDIS}}$$



Qiu, Sterman  
Kouvaris et al.  
Kanazawa, Koike  
Kang, Prokudin

A possible solution? Kang, Prokudin PRD (2012)

Kang, Qiu, Vogelsang, Yuan PRD 2011

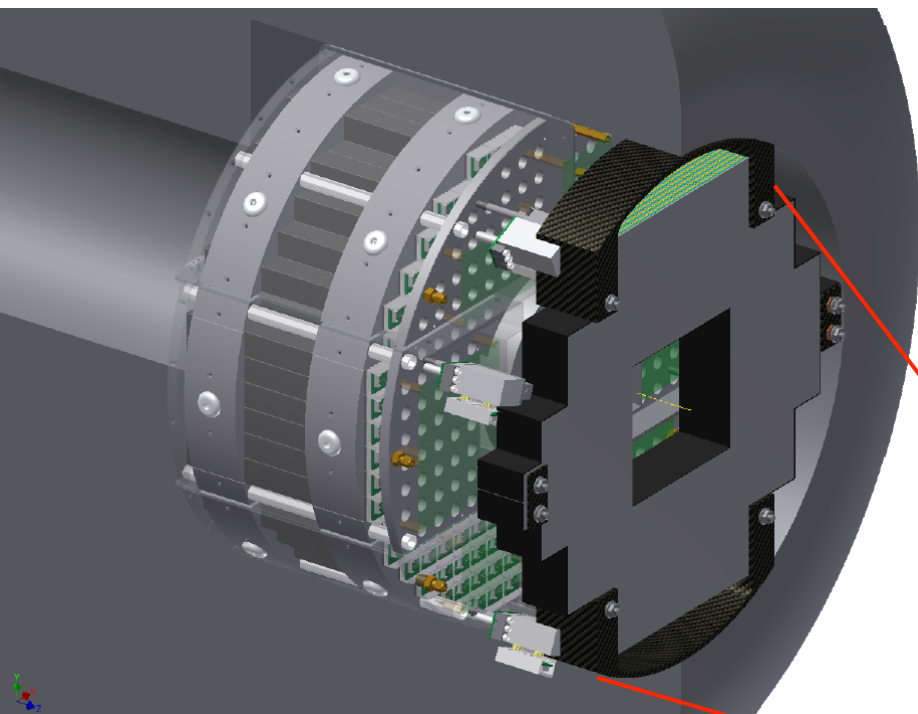


Collins dominates?

Need more data!  
- X-coverage important!



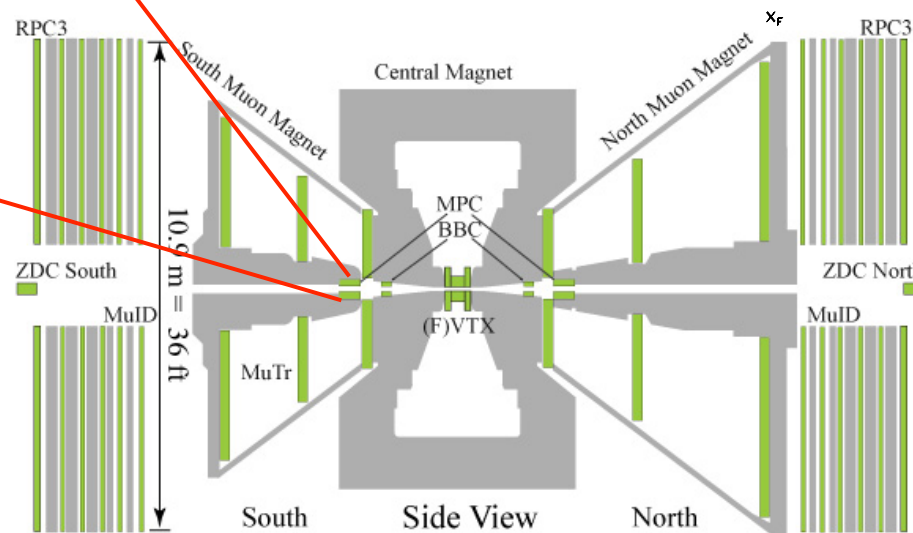
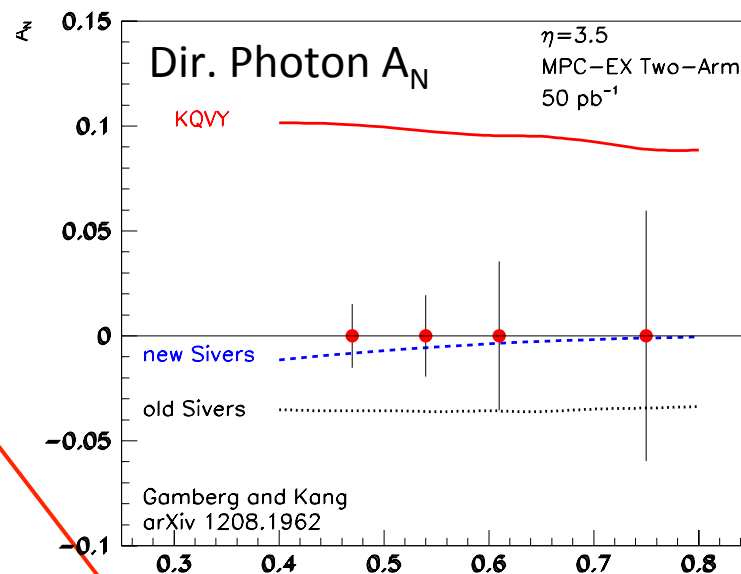
# Coming soon: MPC-EX (2015+)



A combined charged particle tracker and EM pre-shower detector – dual gain readout allows sensitivity to MIPs and full energy EM showers.

$$3.1 < |\eta| < 3.9$$

- $\pi^0$  rejection  $\rightarrow$  **direct photons**
- $\pi^0$  reconstruction out to  $>80\text{GeV}$



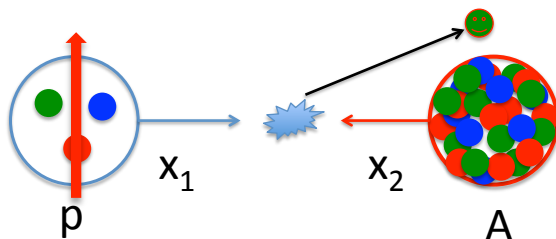


# Polarized p+A at RHIC(2015+)

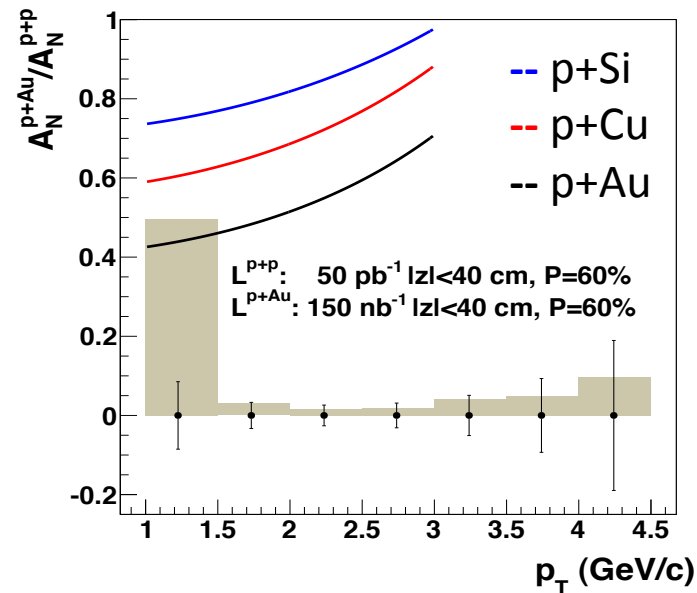
"Polarized p+A @RHIC" workshop, Jan., 2013  
<https://indico.bnl.gov/conferenceDisplay.py?ovw=True&confId=553>

- Large transverse spin asymmetry  $A_N$  at forward rapidity – a large analyzing power at large  $x_1$
- Gluon saturation/CGC probed at forward rapidity in p+A – small  $x_2$  in A

*A new probe – using a large spin asymmetry to study CGC effects in the forward rapidity*



$$\begin{aligned} \text{projectile: } x_1 &\sim \frac{p_\perp}{\sqrt{s}} e^{+y} \sim 1 && \text{valence} \\ \text{target: } x_2 &\sim \frac{p_\perp}{\sqrt{s}} e^{-y} \ll 1 && \text{gluon} \end{aligned}$$

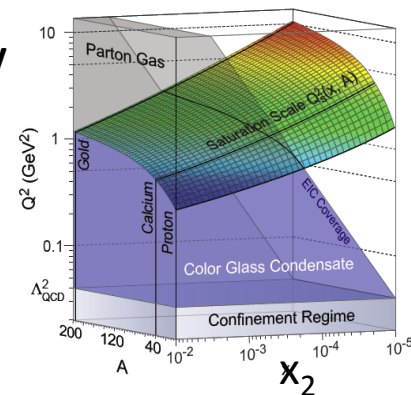


$$\Delta\sigma_{forward} \sim \Delta f(x_1) \otimes g(x_2); \quad x_1 \gg x_2$$

## Forward Pion Single-Spin Asymmetry

$$\frac{A_N^{pA \rightarrow h}}{A_N^{pp \rightarrow h}} \bigg|_{P_{h\perp}^2 \ll Q_s^2} \approx \frac{Q_{sp}^2}{Q_{sA}^2} e^{\frac{P_{h\perp}^2 \delta^2}{Q_{sp}^4}}$$

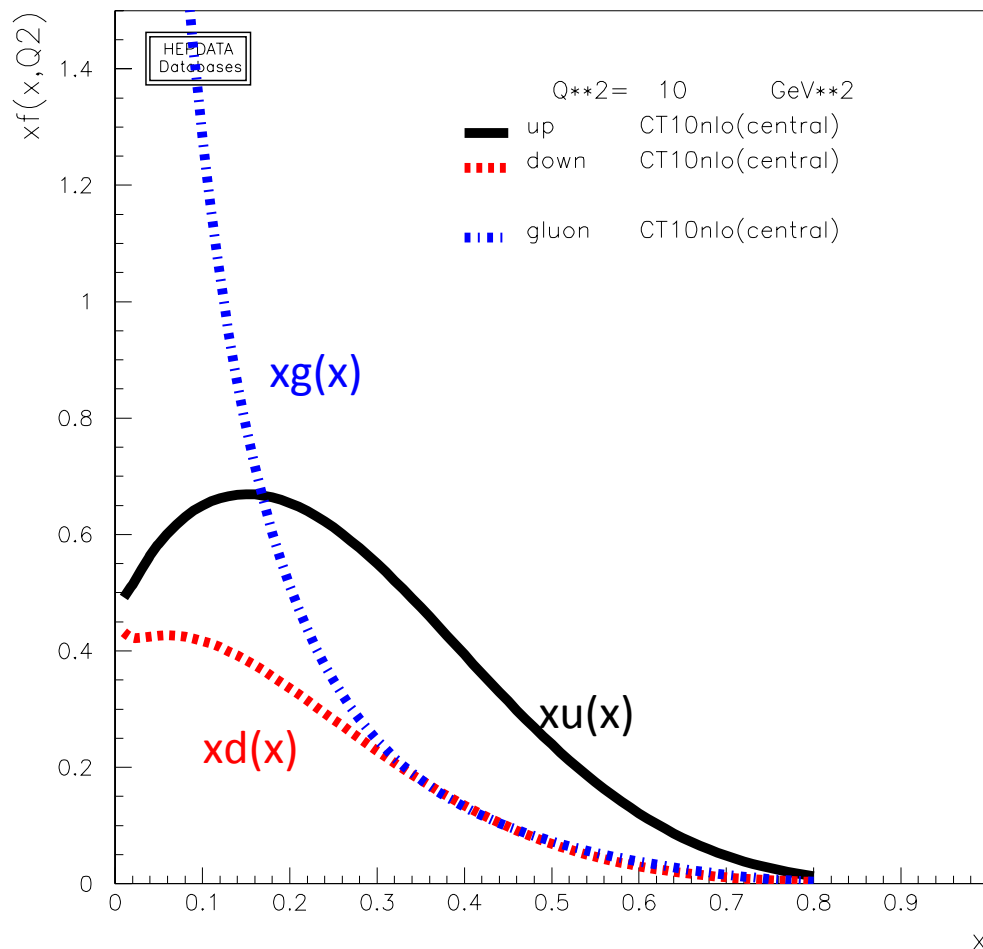
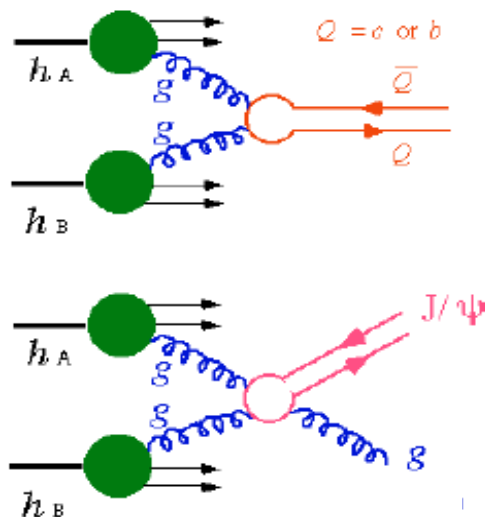
Kang, Yuan (2011)



# Unique Opportunity @RHIC: Study Gluons!

- How about Gluons?
  - Gluons ~50%
  - Significant gluons at “large  $x$ ”
  - Twist-3 quark-gluon and gluon-gluon corr. functions
- Probe gluons with heavy quarks

## Gluon Fusion



# Heavy Quark TSSA at RHIC

Twist-3 tri-gluon correlation functions

$$P_h^0 \frac{d\sigma^{3\text{gluon}}}{d^3P_h} \simeq \frac{\alpha_s^2 M_N \pi}{S} \epsilon^{P_h p n S_\perp} \sum_{f=c\bar{c}} \int \frac{dx'}{x'} G(x') \int \frac{dz}{z^3} D_a(z) \int \frac{dx}{x} \delta(\tilde{s} + \tilde{t} + \tilde{u}) \frac{1}{\tilde{u}} \left[ \delta_f \left( \frac{d}{dx} O(x) - \frac{2O(x)}{x} \right) \hat{\sigma}^{O1} + \left( \frac{d}{dx} N(x) - \frac{2N(x)}{x} \right) \hat{\sigma}^{N1} \right].$$

where  $O(x) \equiv O(x, x) + O(x, 0)$ ,  $N(x) \equiv N(x, x) - N(x, 0)$ .

$\delta_f = +1(c); -1(\bar{c})$

?

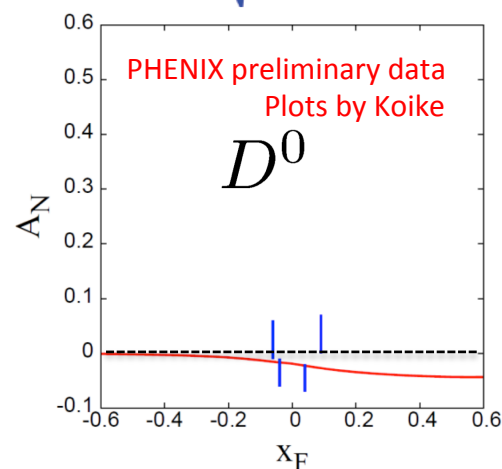
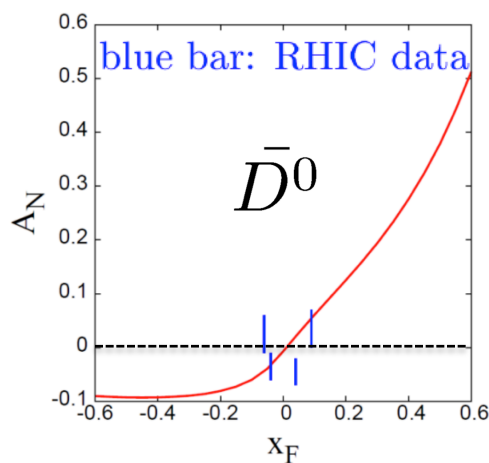
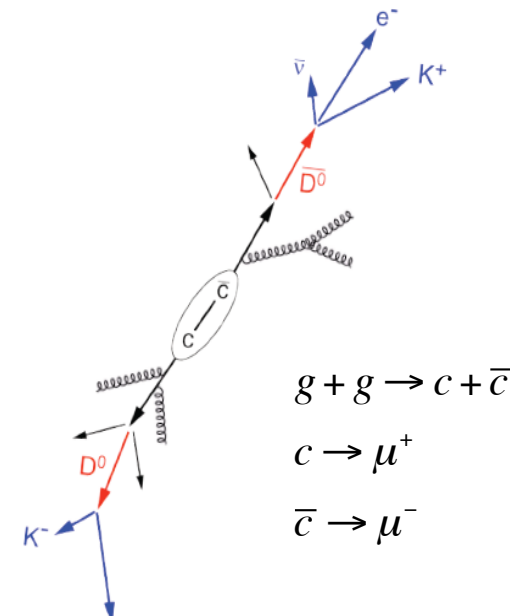
$$A_N(D) \neq A_N(\bar{D})$$

Model 1:

$$O(x) = 0.004xG(x)$$

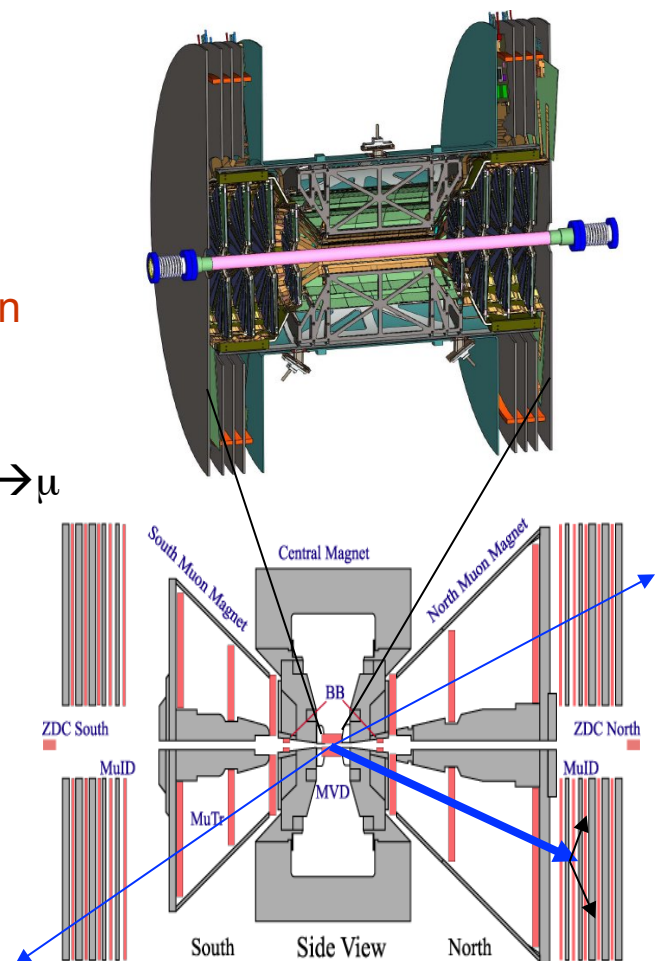
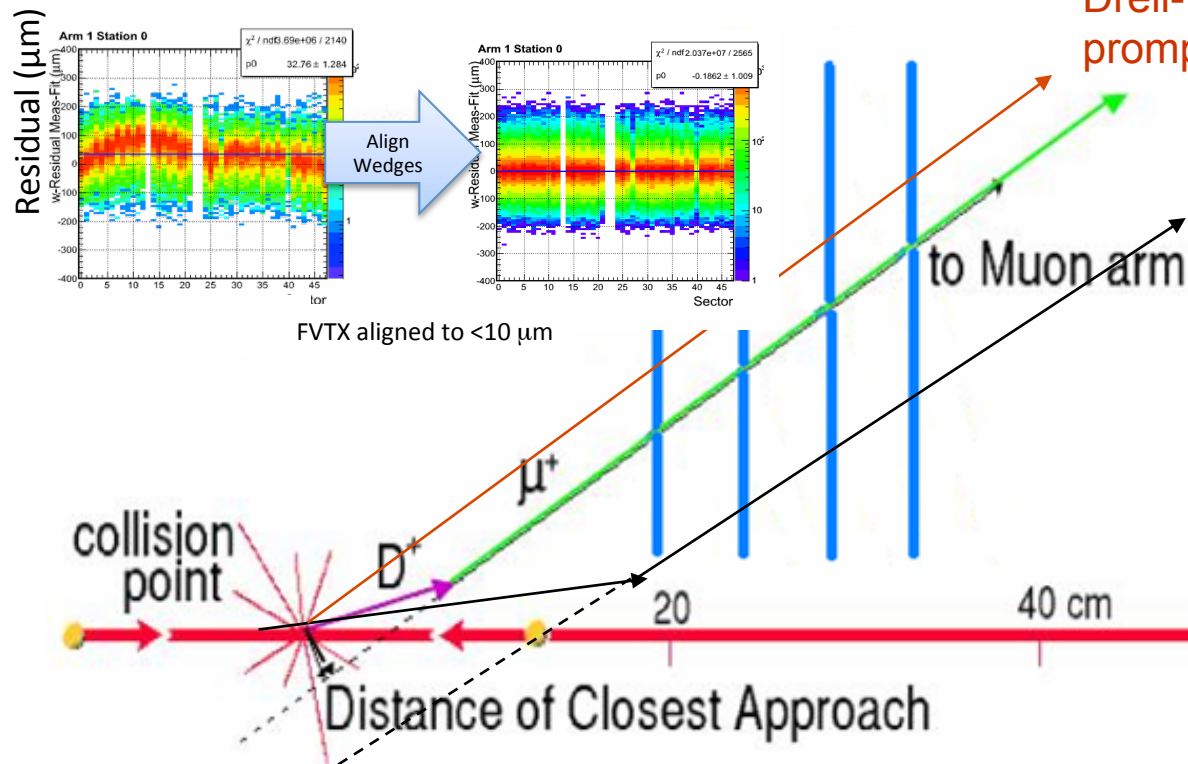
Koike *et. al.* (2011)

Kang, Qiu, Vogelsang, Yuan (2008)



## New Capabilities of Forward Muon Probes

- Precision Charm/Beauty Measurements
- Drell-Yan,  $J/\psi$  ... via dimuons
- $W/Z$
- FVTX analysis in progress

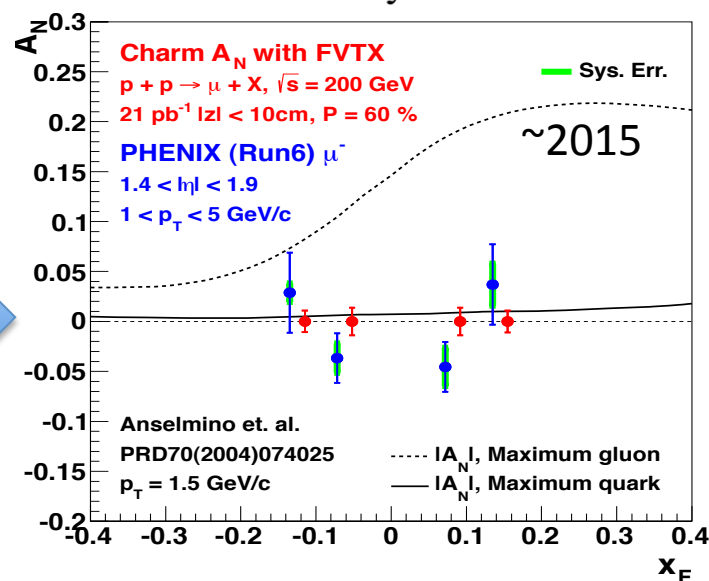
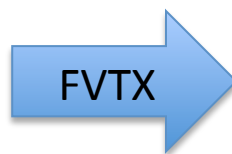
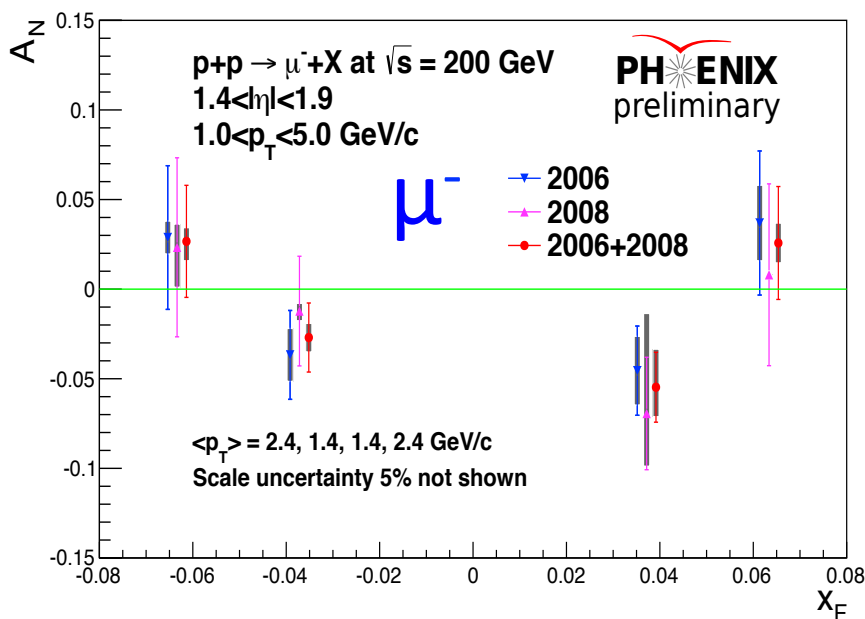
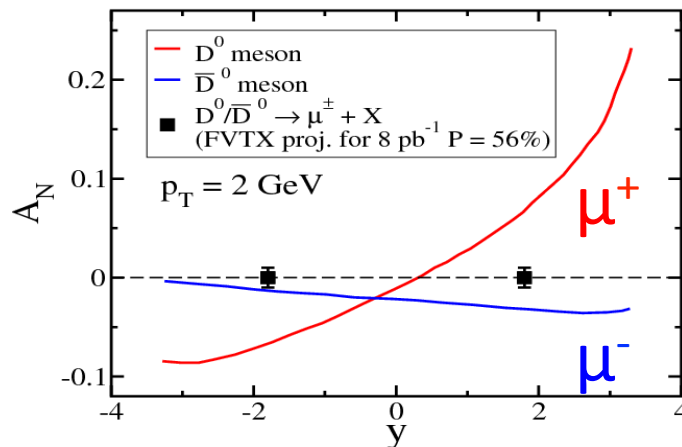


# Projected Open Charm TSSA with FVTX

Unique opportunity to study gluon Sivers distributions at RHIC

## Forward Muon arms

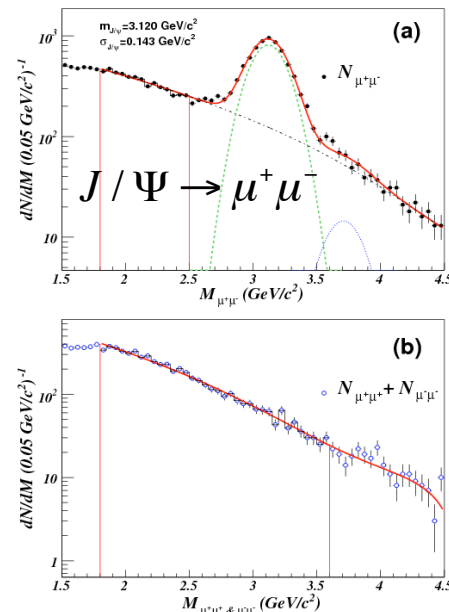
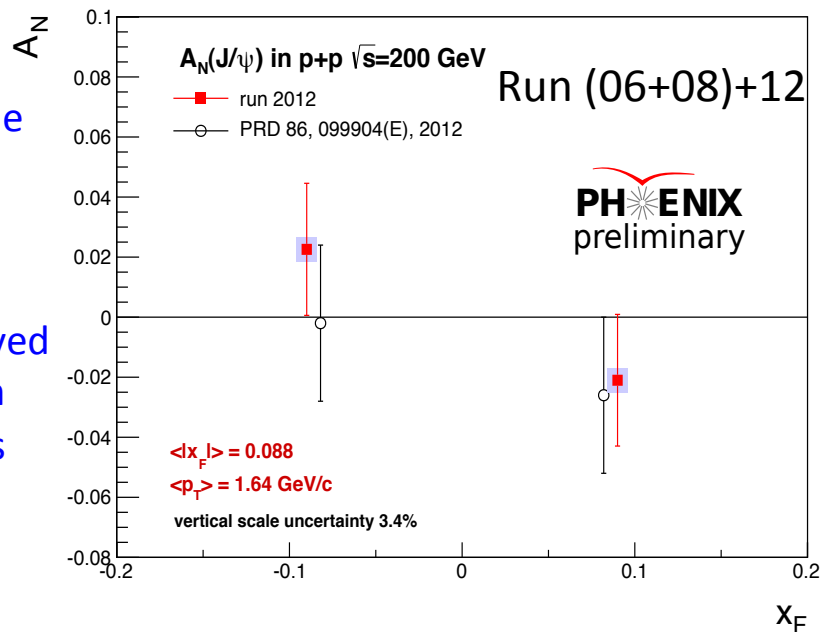
- Run6, 8
- Run12 work in progress
- Much improved w/FVTX (Run15)



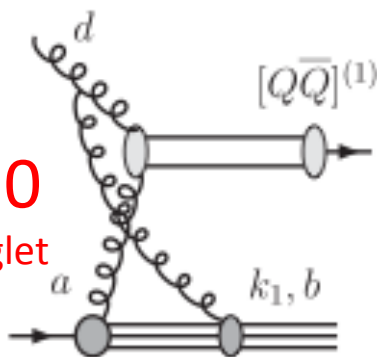
# J/ψ A<sub>N</sub> Measurement in p+p Collisions

A new tool to study J/Psi production mechanisms in p+p

- A new test QCD factorization and role of spin in particle production
- Expect much improved measurements from future high stat runs @RHIC

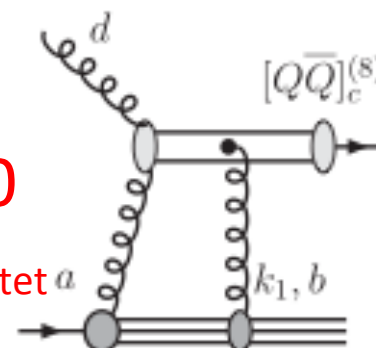
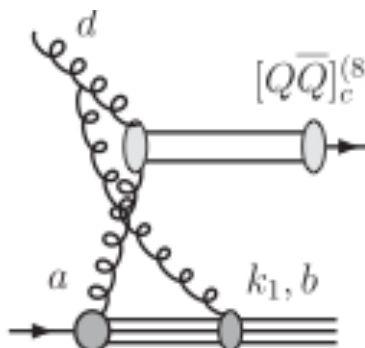


**A<sub>N</sub> ≠ 0**  
Color singlet



F. Yuan, PRD 78, 014024(2008)

**A<sub>N</sub> = 0**  
Color Octet

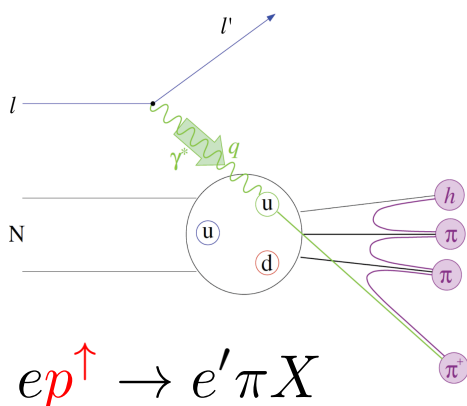


# Future Forward Dimuon Drell-Yan $A_N$ Study

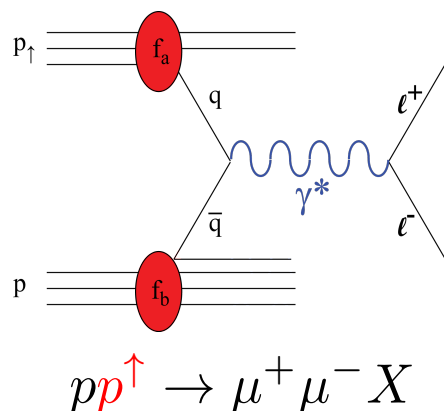
fundamentally important test of QCD factorization and gauge-link

- Drell-Yan  $A_N$  accesses quark Sivers effect ( $f_{1T}^\perp$ ) in proton
- $f_{1T}^\perp$  expected to **reverse in sign** from SIDIS to DY meas.

$$f_{1T}^{\perp q} |_{SIDIS} = -f_{1T}^{\perp q} |_{DY}$$

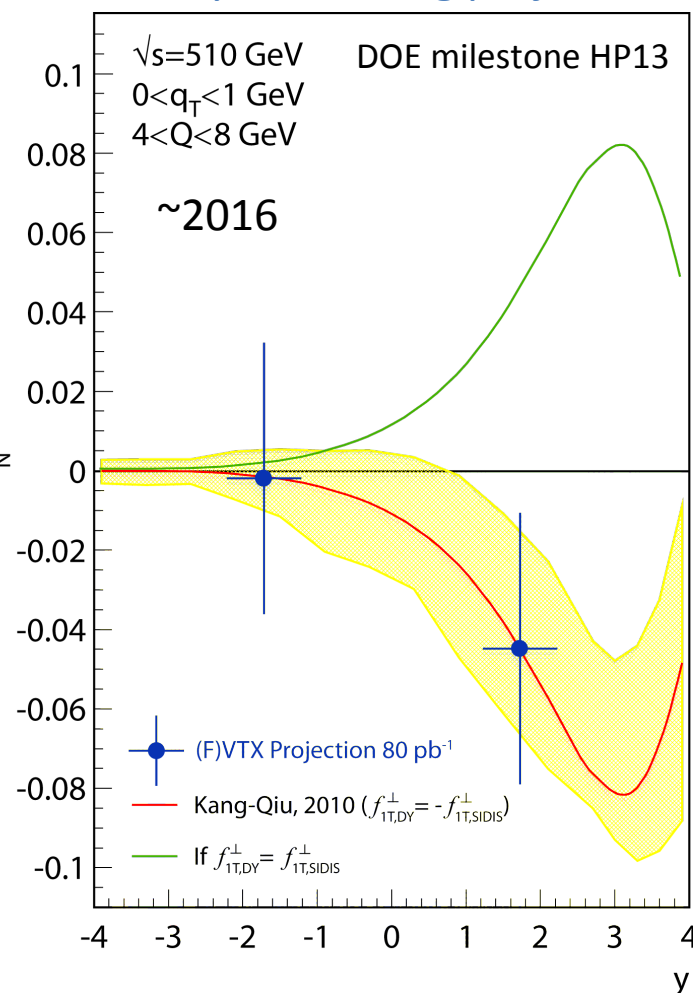


Semi-inclusive DIS (SIDIS)



Drell-Yan

RHIC 1-year running projection

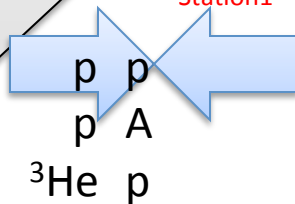
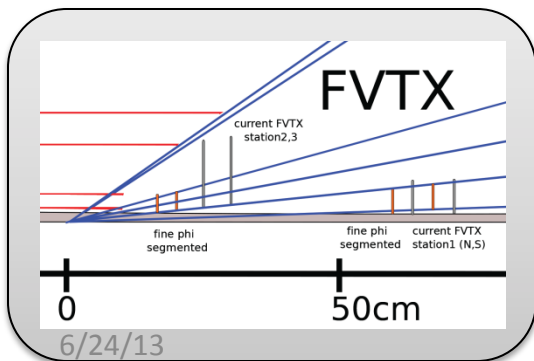
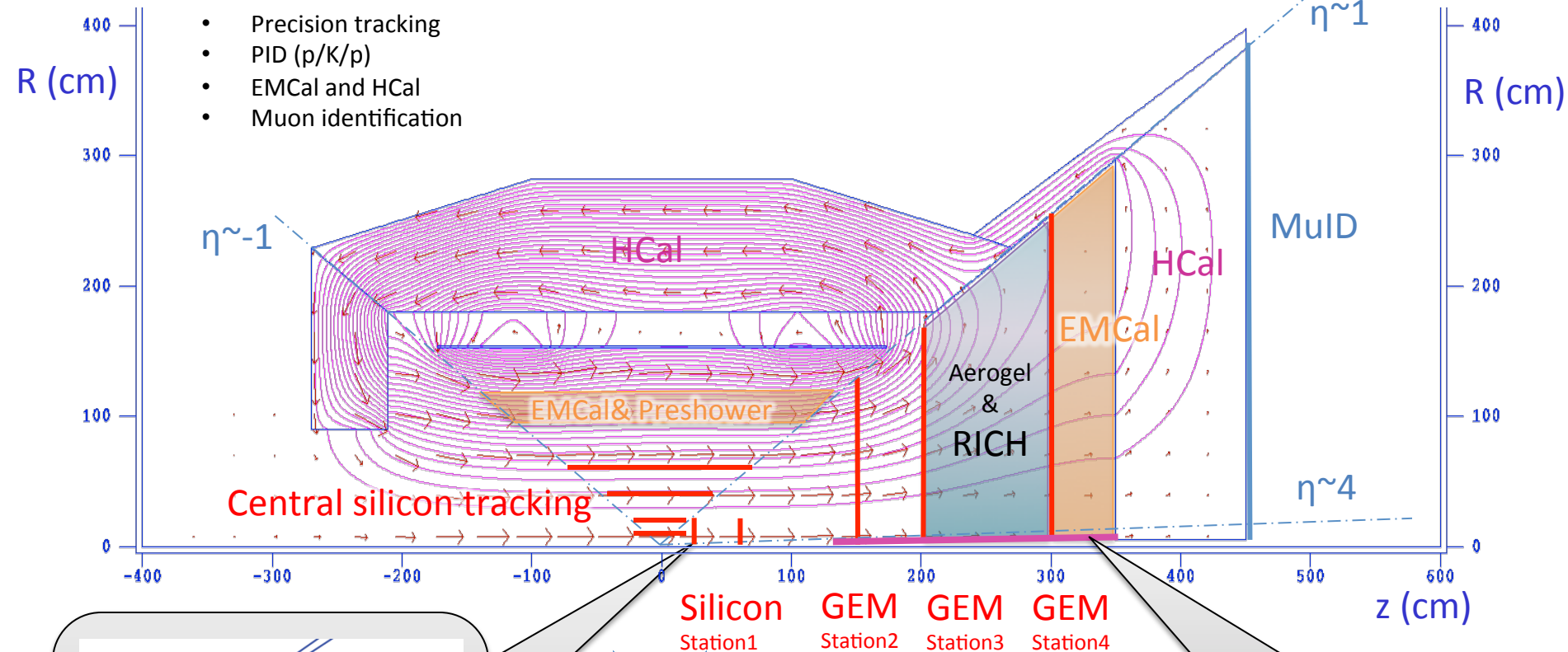


# Spin Physics with Forward s/ePHENIX

Jin Huang's Talk

Optimized for jets, photons and DY over a large range in rapidity ( $1 < \eta < 4$ )

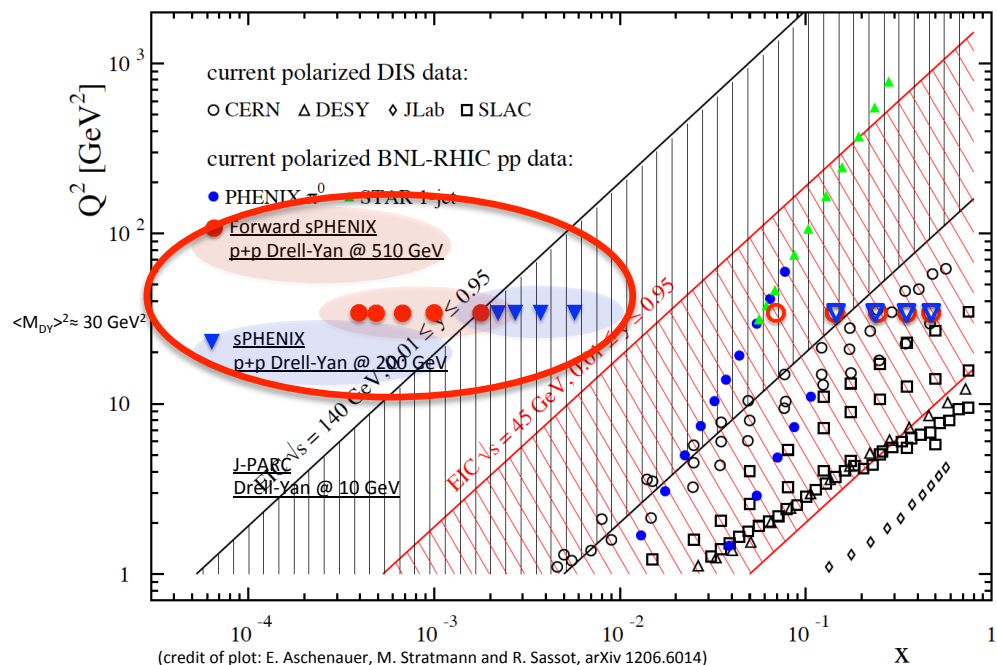
- Extension of sPHENIX central solenoid
- Precision tracking
- PID (p/K/p)
- EMCal and HCal
- Muon identification



Forward field shaper  
(later slides)

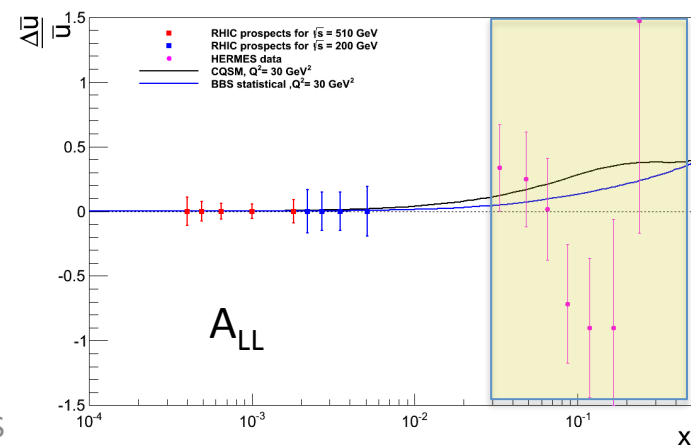
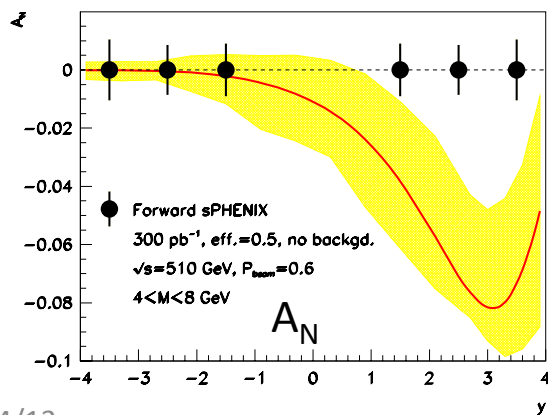


# Drell-Yan Kinematics with Forward s/ePHENIX



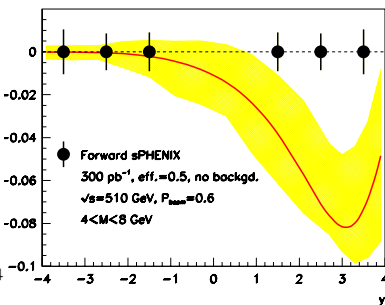
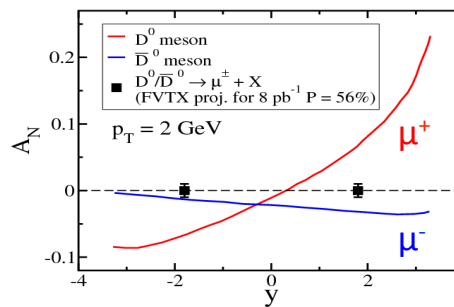
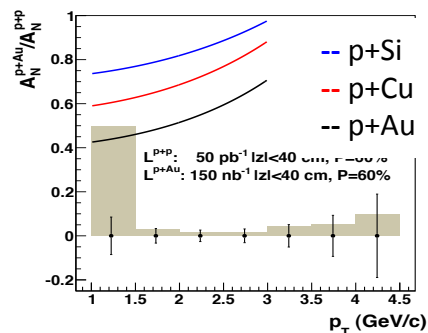
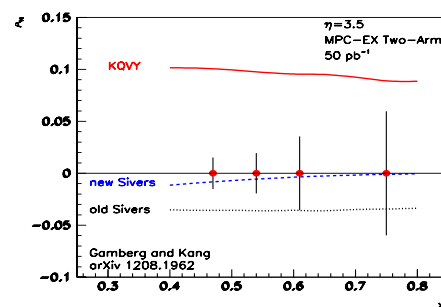
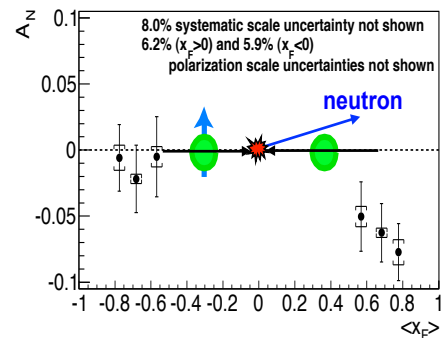
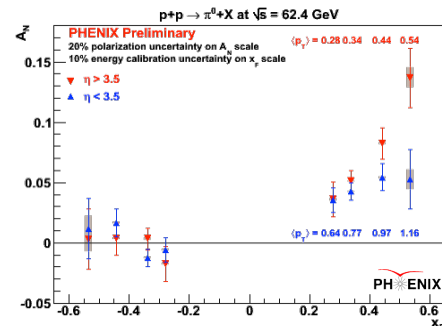
study sign change and shape!

Probe deep sea-quark polarization!



# Summary and Outlook

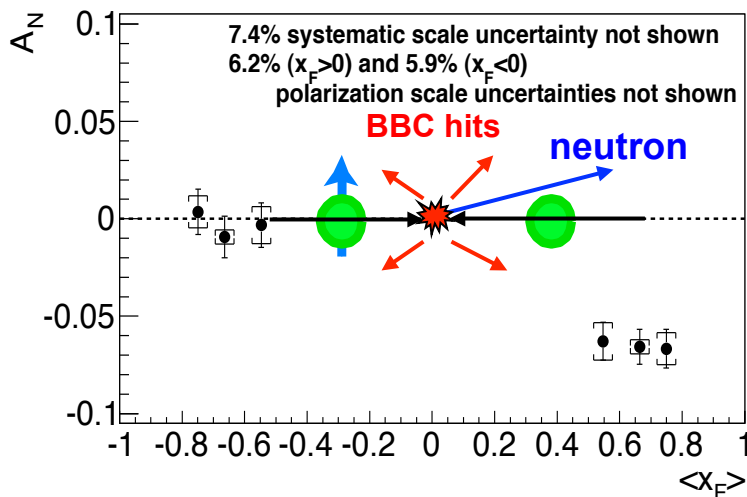
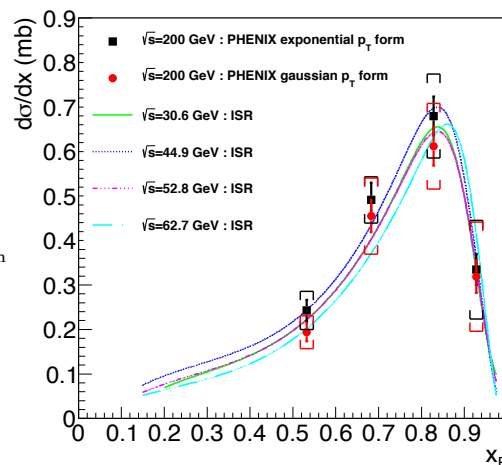
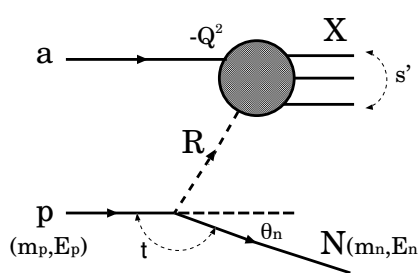
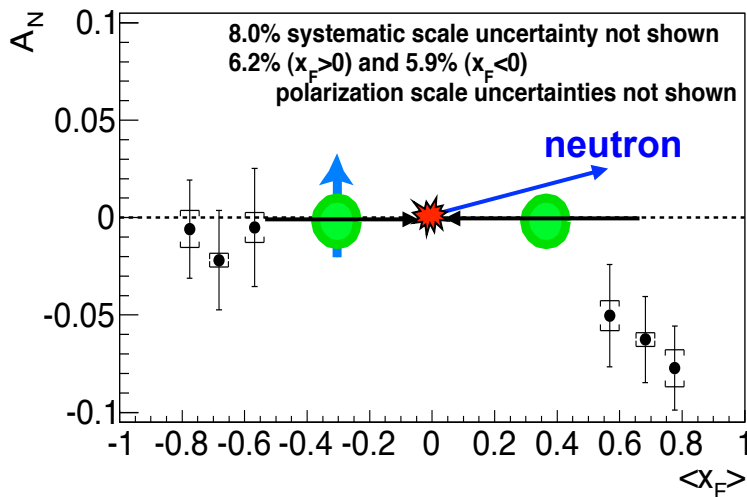
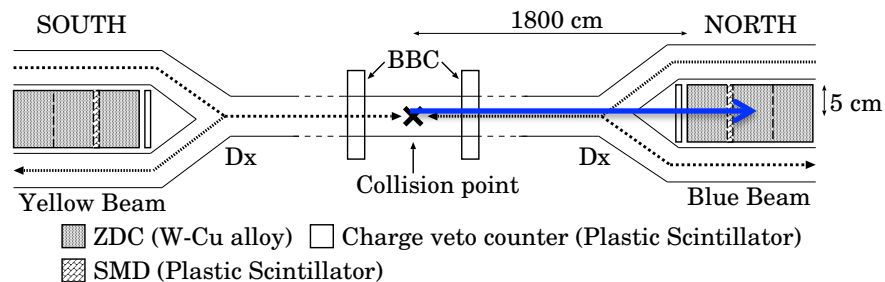
- Significant TSSA observed in the forward rapidity
  - Pion and eta via MPC
  - Leading neutrons in ZDC
- Expect much improved measurements w/ forward MPC-EX
  - larger  $p_T$ ,  $x_F$  coverage; dir-photon
  - Quarks' Sivers and Collins effects
- Forward muons with FVTX
  - Gluon Sivers
  - Charm vs anti-Charm & tri-gluon
  - Drell-Yan to test QCD
- Forward s/ePHENIX
  - New spin program



# backup

# Very Forward Leading Neutron $A_N$

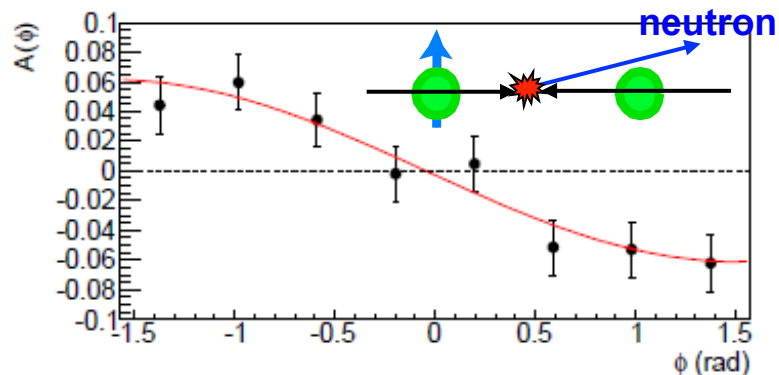
arXiv:1209.3283



# Forward Neutron $A_N$

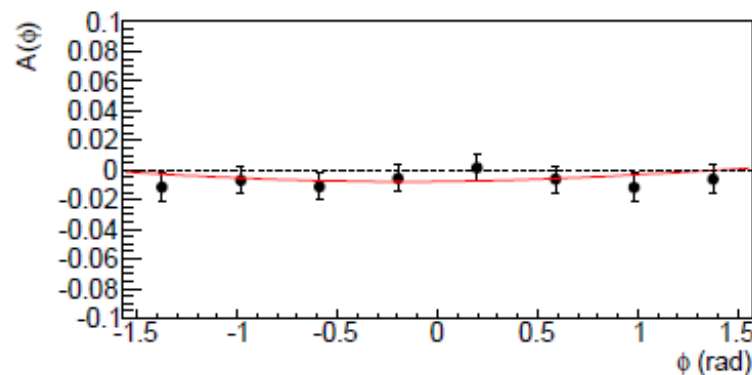
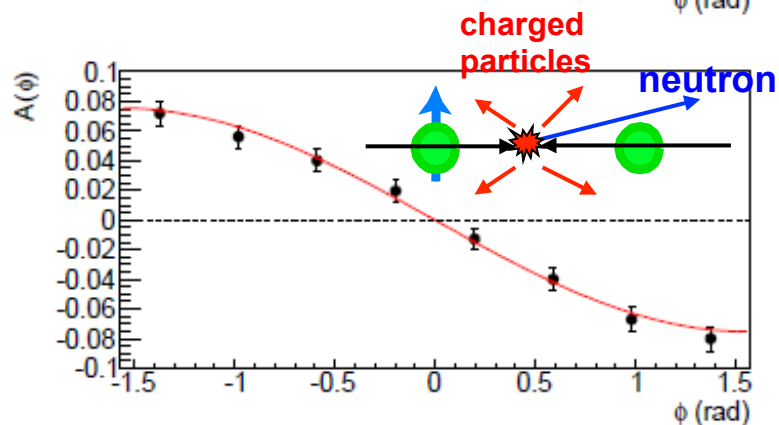
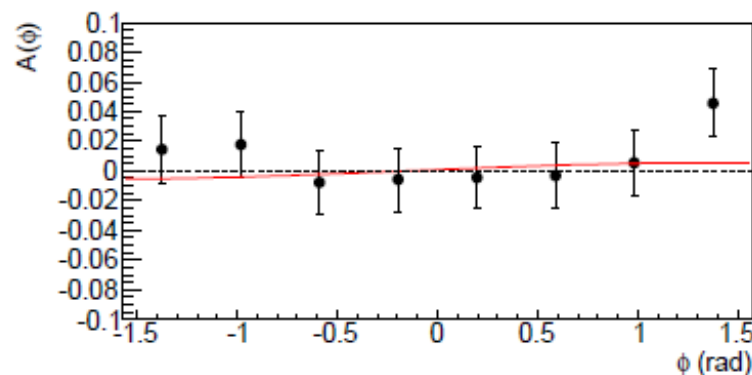
Forward asymmetry

$$A_N = -0.061 \pm 0.010(\text{stat}) \pm 0.004(\text{syst})$$



Backward asymmetry

$$A_N = -0.006 \pm 0.011(\text{stat}) \pm 0.004(\text{syst})$$



Interaction trigger with charged particles in beam-beam counter (ZDC $\otimes$ BBC trigger)

Forward asymmetry

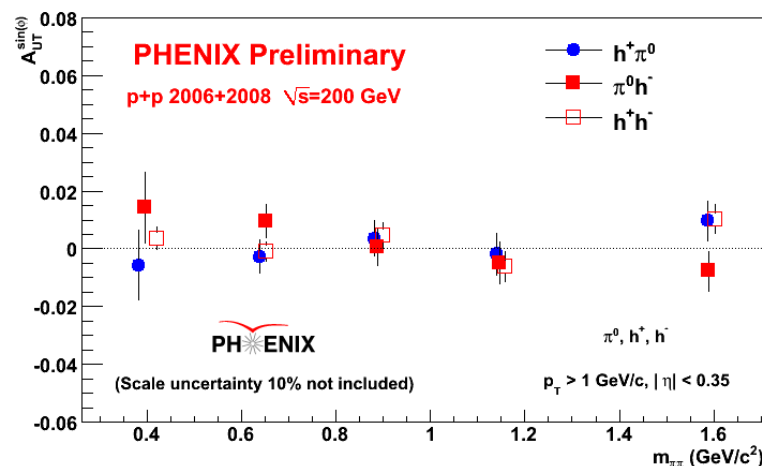
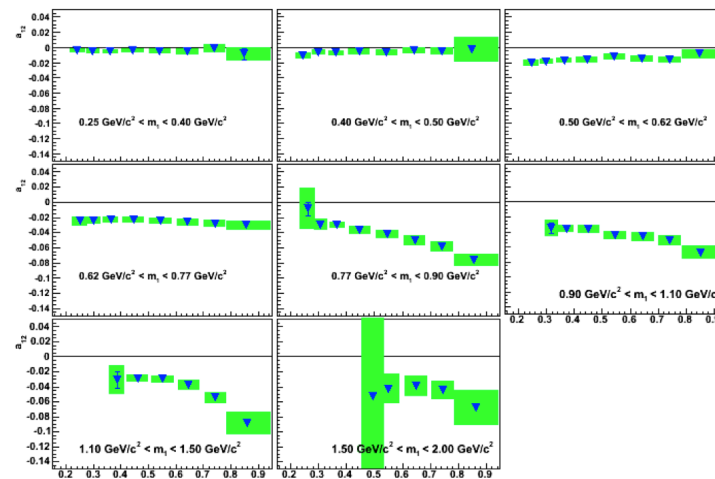
$$A_N = -0.075 \pm 0.004(\text{stat}) \pm 0.004(\text{syst})$$

Backward asymmetry

$$A_N = -0.008 \pm 0.005(\text{stat}) \pm 0.004(\text{syst})$$

# Another Route to Transversity

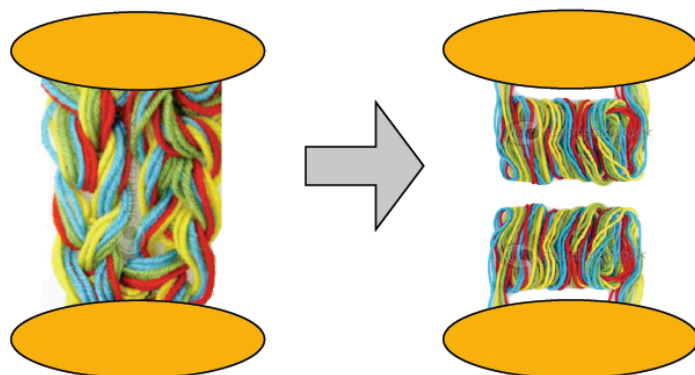
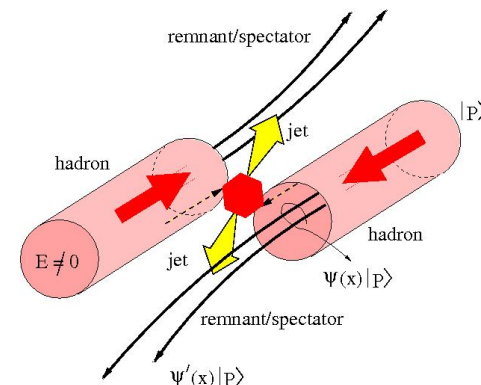
- Interference Fragmentation Function (IFF)
  - Measured at BELLE
  - Collinear (no  $k_T$  dependence)
  - Correlates quark spin with produced hadron pair angular momentum
- At PHENIX, couples with transversity
  - Initial data statistically limited
  - Expected improved measurements from future runs



# Color Flow in p+p Collisions

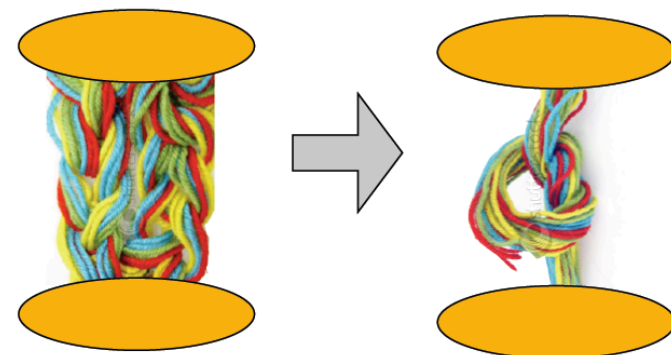
- Theoretical challenges
  - validity of factorization, universality ...
  - TMD, Twist-3...

Bacchetta, Mulders@QCD-N12



TMD factorization works at leading twist for  
SIDIS,  $e^-e^+$  annihilation, Drell-Yan (pp to leptons)

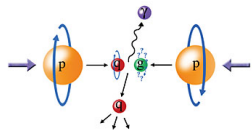
$$\sigma(h) \sim f(x_1) \otimes f(x_2) \otimes \hat{\sigma}^{x_1+x_2 \rightarrow h+X}$$



TMD factorization does not work for pp to hadrons.

Breakdown of TMD in p+p  
Experiment + Theory joint efforts

*RHIC is capable of delivering the beams required for precision spin physics now!*



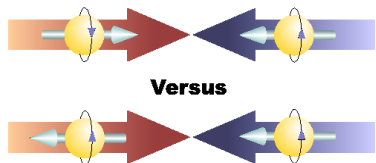
$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + \Delta L_q + \Delta L_g$$

$$\Delta\Sigma \sim 0.3 !$$

Longitudinal program:  
Focus on "Spin Crisis"

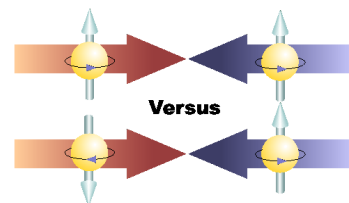
Transverse program:  
New tests of QCD

$$A_{LL} = \frac{\sigma(++) - \sigma(+-)}{\sigma(++) + \sigma(+-)}$$



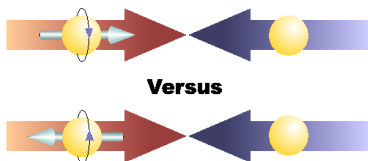
Gluon  
polarization

$$A_{TT} = \frac{\sigma(\uparrow\uparrow) - \sigma(\uparrow\downarrow)}{\sigma(\uparrow\uparrow) + \sigma(\uparrow\downarrow)}$$



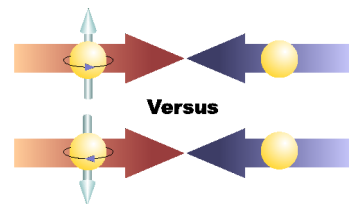
Quark  
transversity

$$A_L = \frac{\sigma(+)-\sigma(-)}{\sigma(+)+\sigma(-)}$$



Anti-quark  
polarization

$$A_N = \frac{\sigma(\uparrow) - \sigma(\downarrow)}{\sigma(\uparrow) + \sigma(\downarrow)}$$



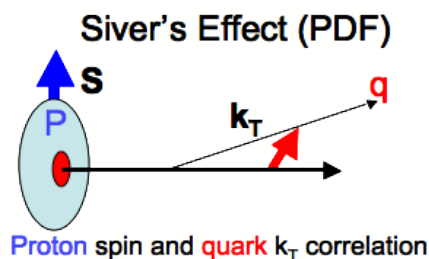
Quark and gluon  
Sivers and  
Collins functions



# Positive Signals from SIDIS

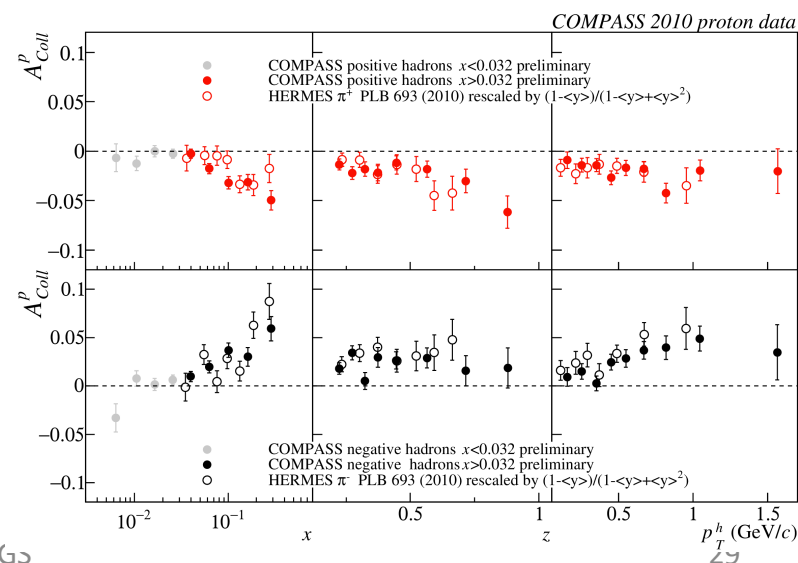
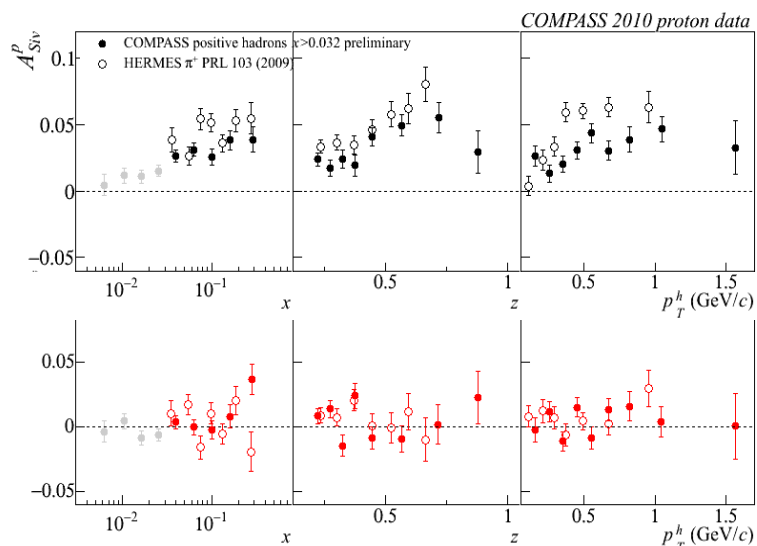
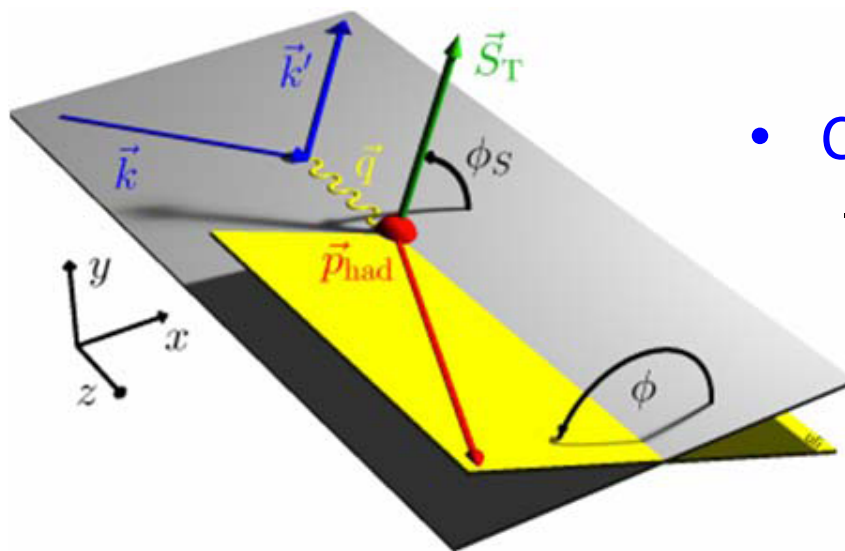
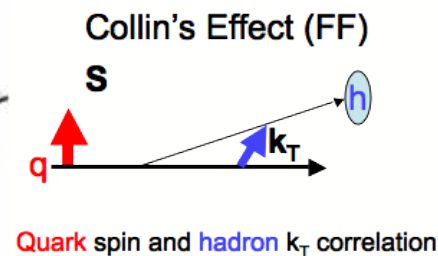
## Sivers

$$-\langle \sin(\Phi - \Phi_s) \rangle$$



## Collins

$$-\langle \sin(\Phi + \Phi_s) \rangle$$



# Forward Hadrons

## pp @200GeV

BRAHMS PRL (2007)

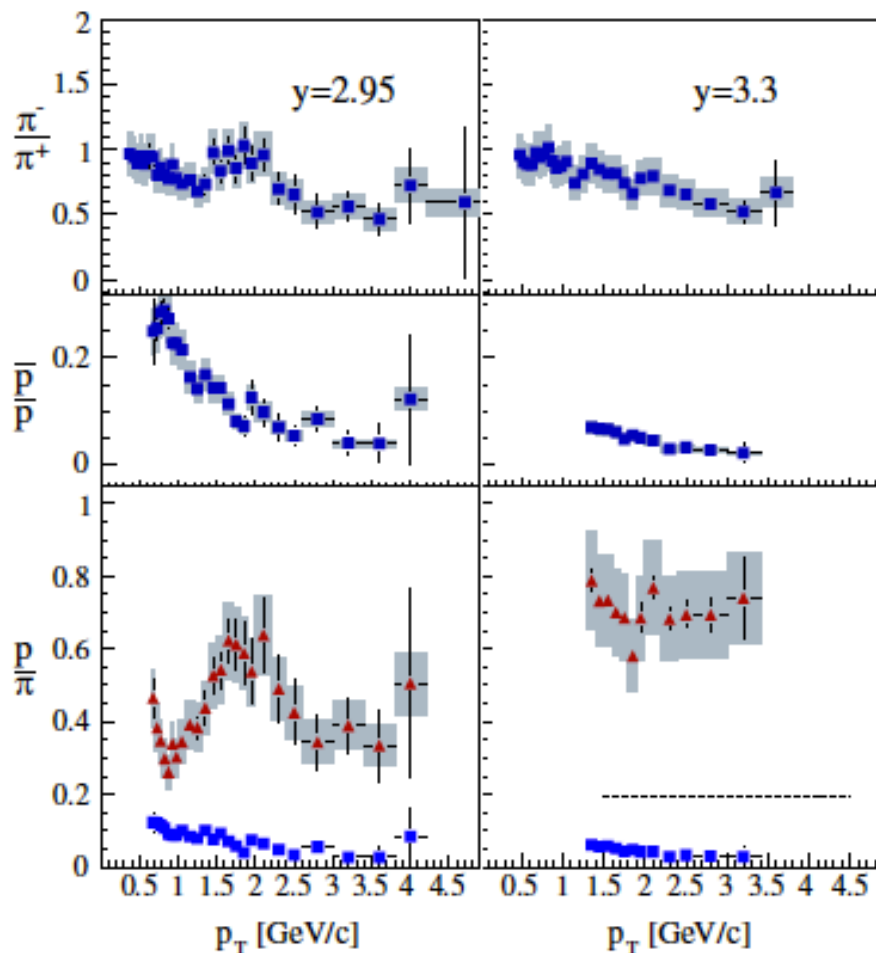
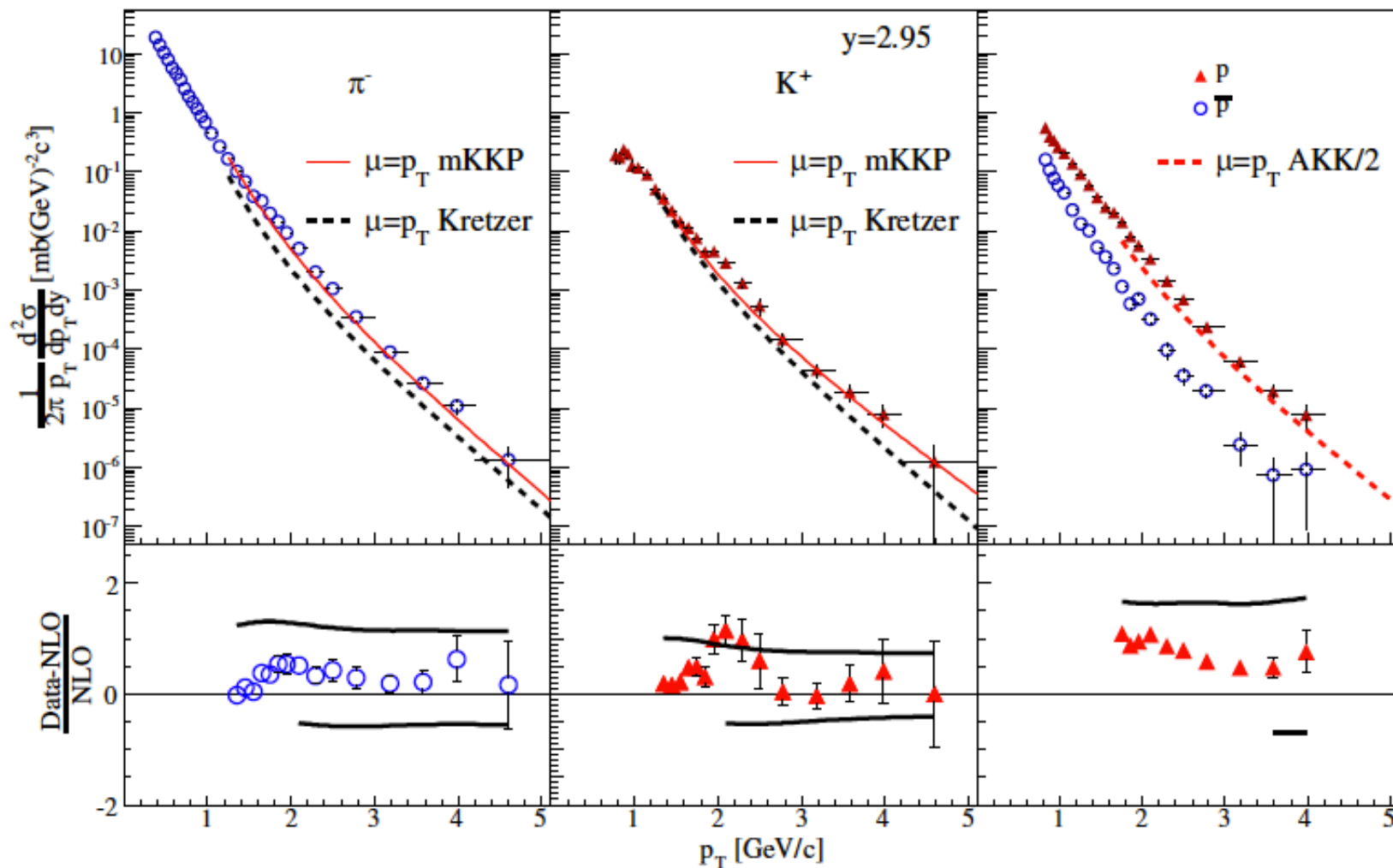


FIG. 2: Particle ratios versus  $p_T$  at  $y=2.95$  and  $3.3$ . Top)  $\pi^-/\pi^+$ , Middle)  $\bar{p}/p$  and Bottom)  $p/\pi^+$  (red circles) and  $\bar{p}/\pi^-$  (blue squares). The shaded rectangles indicate an overall systematic error (17%) estimated for these ratios. The dashed line shows an upper limit for the  $(p + \bar{p})/(\pi^+ + \pi^-)$  ratio from  $e^+e^-$  collisions.

# 200 pp Very Forward: $y=3$

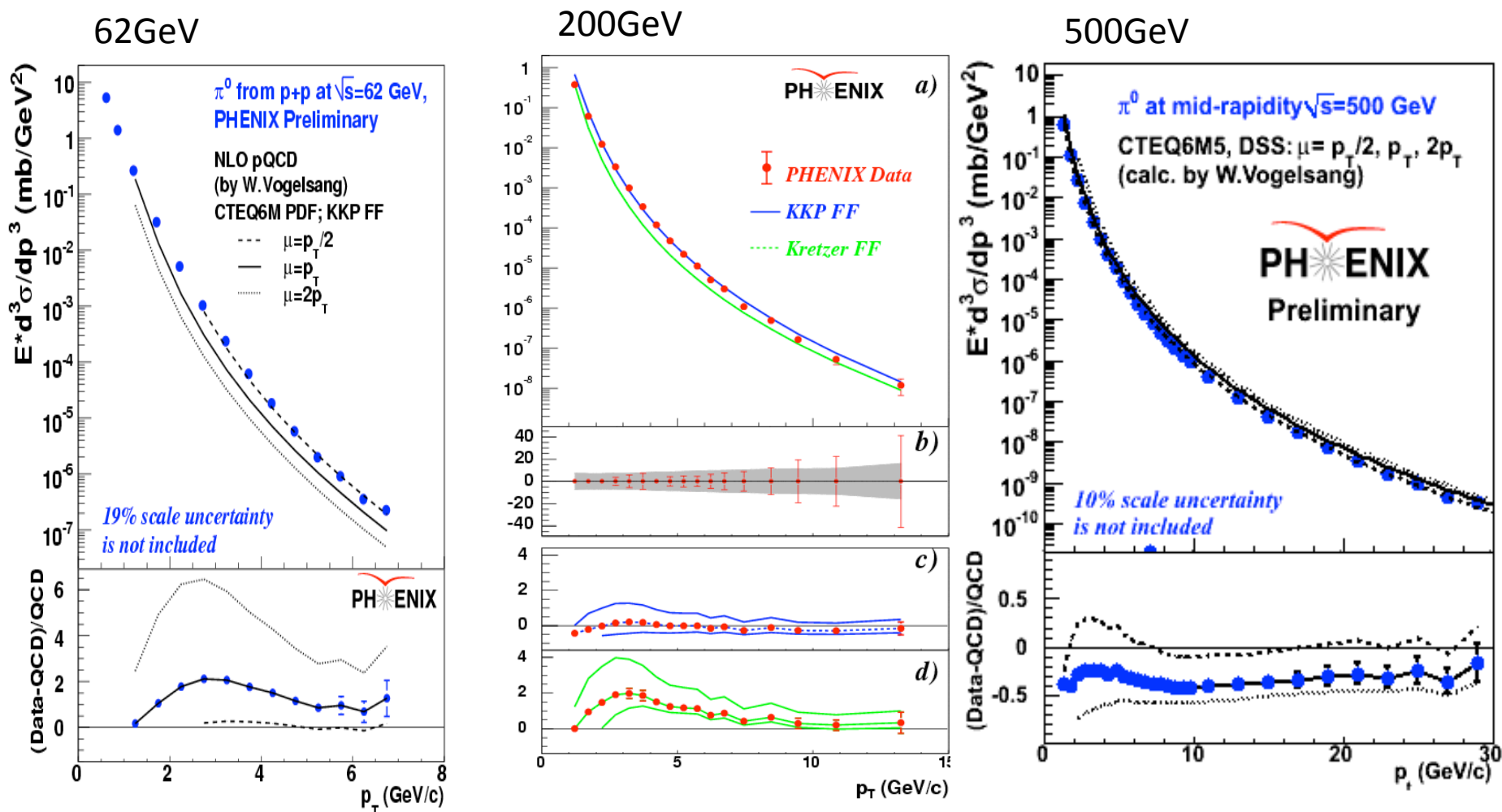
## (Data – NLO)/NLO

BRAHMS



# Data vs NLO: $|\eta| < 0.35$

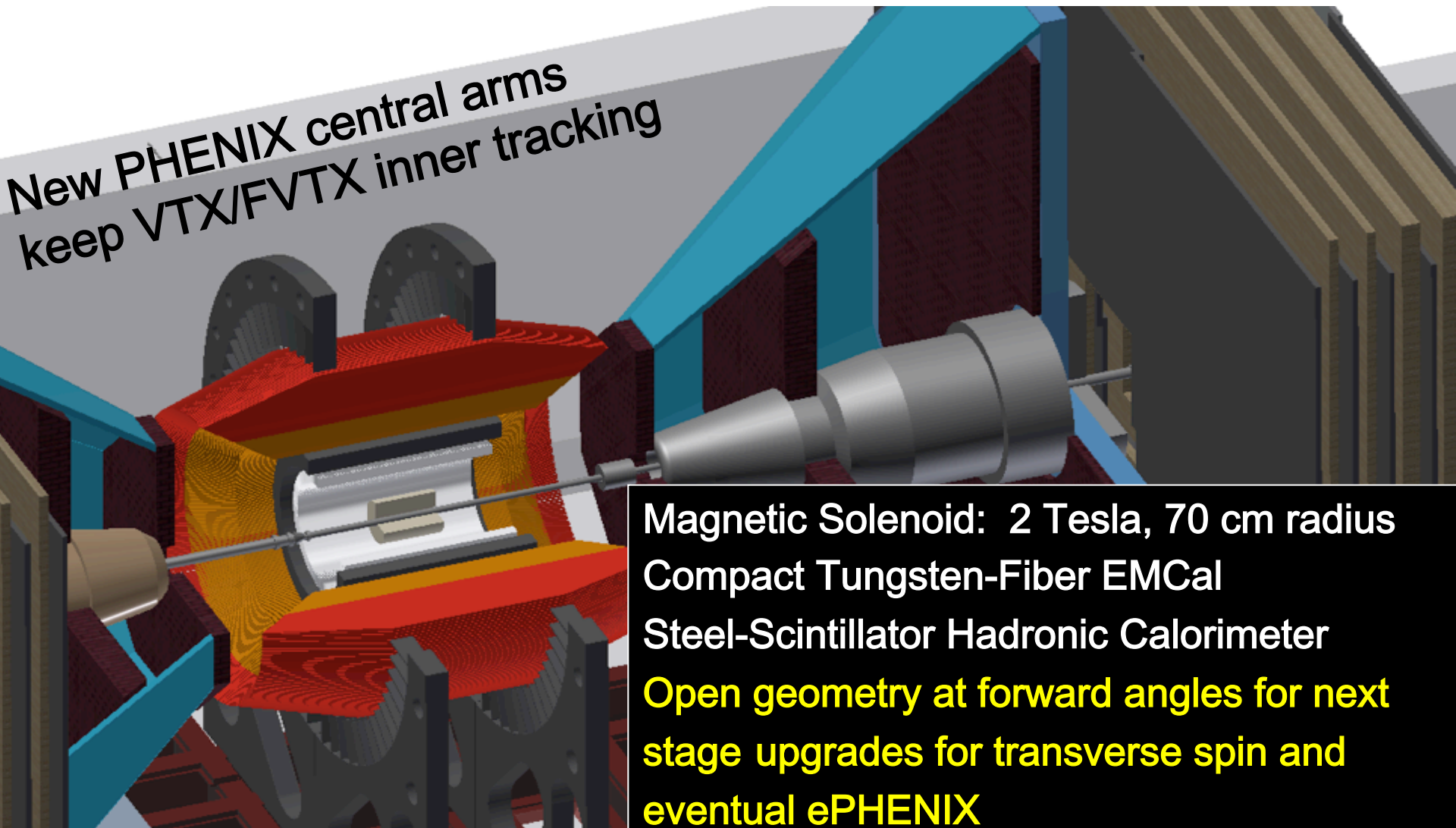
## (Data - NLO)/NLO



# The sPHENIX Upgrade (2018)

PHENIX Collaboration arXiv:1207.6378v1

New PHENIX central arms  
keep VTX/FVTX inner tracking



Magnetic Solenoid: 2 Tesla, 70 cm radius  
Compact Tungsten-Fiber EMCal  
Steel-Scintillator Hadronic Calorimeter  
Open geometry at forward angles for next  
stage upgrades for transverse spin and  
eventual ePHENIX